

# Schools Forum Agenda

**9<sup>th</sup> November 2020 at 2:30 pm**  
**Virtual Meeting via Microsoft**  
**Teams**

(Open to the Public and Press)

**1. Apologies**

To receive any apologies for absence

**2. Declaration of interest**

Schools Forum members to declare any interest in matters to be discussed at the meeting.

**3. Minutes**

To confirm the minutes of the meeting(s) held on 28<sup>th</sup> September 2020 as a correct record.

**4. Schools Revenue Funding 2021/22 Consultation document**

**5. SEN and High Needs Block – Period 6 Monitoring report – to be tabled**

**6. Membership update**

**7. AOB**

**Next Meeting:**

**14th December 2020; Virtual Meeting**



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**Schools Forum Distribution to Members:**

**Head Teachers Advisory Forum - Primary Schools (6)**

Miss W Lawrence, Mr G Linford, Ms S Ramsay

**Head Teachers Advisory Forum – Secondary Schools (4)**

Mr P Shone, Mr D Irish, Mr M Arnull

**Head Teachers Advisory Forum – Special School (1)**

Mr N Toplass

**School Governors (4)**

Ms C Gallant, Ms L Howard. Mr B Patel, Mr J Smallman

**Trade Union (1)**

Mr. D Barton

**Early Years Partnership (1)**

Mr Z Padda

**14-19 Provider (1)**

Ms J Bailey

**Pupil Referral Unit (1)**

K Morgan

Contact: [democratic\\_services@sandwell.gov.uk](mailto:democratic_services@sandwell.gov.uk)

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## Schools Forum

**Monday 28 September 2020 at 2.30pm**  
**Virtual online meeting via MS Teams**

**Present:** D Irish (Chair)  
N Toplass (Vice Chair)  
P Shone, L Howard G Linford, K Morgan, D Barton, J Bailey, C Walsh, M Arnull,

**Officers:** C Ward, R Kerr, J Gill, A Timmins, S Lilley,

**Also present:** D Maher

**33/20 Apologies:**

Apologies were received from L Gilliam and J Smallman

**34/20 Declarations of Interest**

There were no declarations of interest.

**35/20 Minutes**

The minutes of the meeting held on 13 July 2020 were agreed as a correct record subject to the following amendment :-

- Minute No 26/20, page 3 paragraph 4 “No schools had converted to academy status during 2019/2020” should read No schools had converted to academy status during the financial year 2019/20”.

**36/20 Pupil Referral Unit Balances 2019/20**

Schools Forum received an update in respect of the outturn for 2019/20 for the Pupil Referral Units under the responsibility of the authority.

The authority had the following Pupil Referral Units (PRU):-



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- Sandwell Community Schools – 4 behavioural units in Tividale, Smethwick, West Bromwich and Wednesbury. There was also a specialist unit in Tipton which offered support to key stage 3 and 4 students unable to access their education from the campuses.
- Albright Education Centre (Whiteheath). The centre was Sandwell's Home and Hospital Service.
- Primrose PRU for primary aged pupils.

The following table sets out the opening and closing balances for each of the PRU's for 2019/20:

<b>PRU</b>	<b>Opening Balance 2019/20</b>	<b>In – Year Movement 2019/20</b>	<b>Closing Balance 2019/20</b>
	<b>£</b>	<b>£</b>	<b>£</b>
Primrose (Primary)	0	-59,669	59,669
Sandwell Community School (Secondary)	415,591	604,803	-189,212
Albright Education Centre	270,313	33,287	303,601

The authority was working closely with SCS to review its outturn position and to ensure it returned to surplus balance as soon as possible.

Concerns were raised by the Executive Headteacher of Sandwell Community Schools as there had been communications via their financial support provider that informed the school that they had a surplus, however the Local Authority informed them that the balances were actually in deficit.

The Local Authority was going to work together with the School and SIPs to resolve the deficit and any process which had led to this situation.

Further investigations would continue to be made into the situation and reported back at the next meeting of Schools Forum.

Schools Forum – 28 September 2020  
**Agree** that Schools Forum noted the content of the report.

**37/20 De-delegated, centrally retained impact and balances report**

Schools Forum received a report in respect of actual expenditure incurred for the Central Schools Services Block, centrally retained and the de delegated budgets in 2019/20 together with the impact reports.

Underspend in respect of union facilities time was attributed to claims not submitted on time due mainly to the current situation with Covid-19. It was requested that the funds be carried forward to enable them to offset late invoice submissions.

In respect of Schools in financial difficulties balances, these funds could also be used to cover deficits left by schools that had converted to academy status. There were some schools that converted at the end of 2019/20 with deficits, however the accounts were not yet finalised, and a report would be brought back to the Schools Forum outlining balances.

It was proposed that the underspend in respect of Pupil Number Growth fund be used to reduce the Pupil Number Growth budget proposal for 2021/22.

A vote was taken and the following agreed.

**Agreed that:-**

- 1. The union facilities underspend of £81,000 be carried forward and used to offset the late submission of claims/contributions caused by the disruption encountered due to the Covid-19 pandemic.**
- 2. The schools in financial difficulties underspend of £125,000 be carried forward to use in 2020/21.**
- 3. The pupil number growth underspend of £378,000 be used to reduce the Pupil number growth budget proposal for 2021/22.**

**38/20 Financial Transparency Consultation – Government response**

Schools Forum received an update on the government response to its consultation on Financial transparency of local authority maintained schools and academy trusts.

There had been 255 responses to the consultation most were from mainstream schools, academies and Local Authorities.

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Further to the consultation, the Department for Education would implement the following proposals:

1. publish names of Local Authorities on gov.uk if they failed to comply with deadlines for returns to the Department.
2.
  - a) collect the number of schools with suspended budgets and notices of financial concern through the existing DSG assurance statement signed by the Local Authority Chief Finance Officer at the end of the financial year.
  - b) add a new section to the DSG assurance statement that captured the amounts that Local Authorities had recovered from investigating fraud.
3. Make a directed revision to Local Authorities schemes for financing schools to make it a requirement for maintained schools to provide Local Authorities with three-year budget forecasts.
4. a) make schools append a list of Related Party Transactions (RPTs) to their response to the question in Schools Financial Value Standard about their arrangements for managing RPTs. In addition, they would insert additional columns into the CFO Assurance Statement, so that the number of RPTs and value for each could be disclosed.
5. The Department for Education would not be implementing proposals 5 due to the issues raised by a number of respondents. They would continue to work with Local Authorities to identify how audits can best be made both regular and targeted in the most effective way.
6.
  - a) make a directed revision to LAs' schemes for financing schools, requiring schools to submit a recovery plan to their maintaining authority when their deficit rises above 5%.
  - b) collect information on the number of recovery plans in each LA through the DSG annual assurance return from the Chief Finance Officer.
  - c) formalise the approach to working with LAs and include a request for high level action plans from some LAs.

This would be achieved by;-

- Sharing published data on school balances in each Local Authority.
- Using this data and evidence-based requests from Local Authority's to ensure support was focused where it was needed.
- Requesting high level action plans from Local Authorities in which the number or proportion of school revenue deficits over 5% was above a certain level.



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7. All Local Authority maintained schools would be required to publish annually on their websites the number of individuals (if any) earning over £100K in £10K bandings.
8. The Department for Education would implement proposal 8. However, considering the feedback from a number of respondents, they would require LA maintained schools to publish a link to the schools financial benchmarking website, where the Consistent Financial Reporting (CFR) statement of income, expenditure and balances was already published, instead of requiring them to publish the CFR statement annually on their own website.

The Department for Education wanted to ensure that the benefits of any new measures introduced would outweigh potential burdens on LA's and schools and that any new burdens were not more onerous than those placed upon academies.

The Department for Education had stated that they would compensate LAs for the additional burden that these changes would impose. For 2021-22 this would be achieved through a direct grant to each LA, in proportion to the number of maintained schools they had. The Department for Education would work with Local government to finalise the allocation.

**Agreed** that Schools Forum note the content of the report.

### **39/20 Scheme for financing Local Authority schools (Fair Funding Scheme) update.**

Schools Forum was updated on the scheme for financing local authority-maintained schools.

On 9 March 2020 the authority brought several proposed changes to the scheme, initially requesting responses from schools by the end of April 2020, however due to the Covid-19 pandemic this had been extended to 16 September 2020.

The authority had not received any responses from schools the changes detailed in the report presented at the meeting on 9 March 2020 would be incorporated in the Fair funding scheme.

The changes in this issue of the scheme included that the LA schemes contain a requirement for schools to submit a three-year budget forecast each year, and the directive for schools which had a deficit of over 5% to submit a recovery plan.

Sandwell already had the requirement for a three-year budget forecast in place and wanted to maintain the requirement for schools to submit a

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deficit recovery plan if they were unable to submit a balanced budget for the forthcoming financial year.

The authority therefore did not intend to amend the requirement from that currently stated in the Sandwell scheme. There were some minor changes which would need to be reflected in the Sandwell Scheme.

The changes relating to financing and operating leases (IFRS16) that were due to come into effect on 1 April 2020 had been delayed until 1 April 2021, due to the COVID-19 pandemic.

P Shone suggested that the local authority may consider issuing schools with financial assumptions to ensure meaningful forecast projections were made.

M Arnull suggested that because the local authority and schools had undertaken a lengthy process of re working the primary secondary schools ratio it could show the direction of travel for next few years by issuing 2 or 3-year funding's to ensure that schools would not encounter any financial difficulties as the ratio continued to move towards the NFF ratio. It was agreed that this could be taken forward.

**Agreed** that Schools Forum note the content of the report.

**40/20**

### **School Resource Management Advisor – Covid 19 Support**

Schools Forum was advised that the Department for Education had approached the authority and offered to support the sector through the challenging period by offering School Resource Management Advisers to support schools with financial or resourcing challenges arising from Covid-19. These optional resilience visits could be adapted to the needs of school setting and would focus on the schools who feel they required support or on who the authority felt would most benefit from this intervention.

Any school interested in accessing this support should approach the School Strategic Finance Unit in the first instance and the Strategic Finance Unit would approach LA maintained schools who may benefit.

**Agreed** that Schools Forum note the content of the report.

**41/20**

### **Covid Catch up premium**

Schools forum was informed that the government had announced £1billion of funding to support children and young people to catch up.

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- £350million – National Tutoring Programme to provide additional support for those pupils who need the most help.
- £650million – Universal catch up premium, to help all pupils make up for lost teaching programme.

The National Tutoring programme has 3 parts for the academic year 2020/21:-

1. A 5 to 16 Programme to make additional tuition available from the second half of the autumn term 2020.
2. A 16 to 19 fund for schools', sixths forms, colleges and all other 16 to 19 providers to provide small group tutoring for disadvantaged students. Further guidance was expected to be issued shortly.
3. A reception year early language programme (Early Years catch-up programme), focused on raising outcomes in speaking and language skills among young pupils whose education has been disrupted by coronavirus.

The Department for Education had stated that every state funded school with a reception class would be invited to apply for support, with priority being given to those with a high proportion of disadvantaged pupils.

Up to £9million would be available to provide training and resources to deliver one-to-one and small group support for five-year olds.

Schools needed to register their interest by 30 October 2020.

The Universal catch-up premium funding would be available for all state-funded mainstream and special schools, and alternative provision and would cover:-

- primary, secondary and all through local authority-maintained schools, academies and free schools;
- local authority-maintained special schools;
- special academies and free schools;
- special schools not maintained by a local authority;
- pupil referral units;
- alternative provision (AP) academies and free schools;
- local authority-maintained hospital schools and academies;
- independent special schools;

Funding would be provided to local authorities for pupils with Education, Health and Care plans educated in independent special schools based on number in their area.

The funding would be provided in three tranches, with an initial part payment in autumn 2020, based on the latest data on pupils in mainstream

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schools and high needs place numbers in special, Alternative Provision, hospital schools and special schools not maintained by local authority.

The second payment was expected before Christmas and each school would be notified on the exact sum to be received.

**Agreed** that Schools Forum note the content of the report.

42/20

### **Schools funding 2021/22 – National funding formula**

Schools Forum received an overview on the Schools Revenue Funding – Operational guidance and The National funding formulas for schools and high needs 2021/22 policy document which allowed the planning of the local schools funding formula for that year.

The Key changes to the schools National funding formula in 2021/22 were:

- The incorporation of the 2019 update to the Income Deprivation Affecting Children Index (IDACI), this was to ensure that the deprivation funding through the NFF continues to target schools most likely to need additional funding.
- Funding previously received through the Teachers' Pay Grant (TPG) and Teachers' Pension Employer Contribution Grants (TPECG), including the supplementary fund, to mainstream schools for pupils from reception to year 11 would be allocated through the schools NFF by adding to schools' baselines; by increasing the basic per pupil funding; and by increasing the minimum per pupil funding (MPPLs). This was to streamline the way in which the funding through the grants was delivered, as well as recognise the fact that these grants were part of schools' core funding. The Department for Education had added an amount to reflect the current grant funding (£180 per pupil for primary schools and £265 per pupil for secondary schools) onto the age-weighted pupil unit (AWPU) f
- Improving support under the National Funding Formula for small and remote schools, by increasing the maximum sparsity value from £26,000 to £45,000 for primary schools, and from £67,600 to £70,000 for secondary schools. This change was not relevant to Sandwell schools.

The Department for Education had stated that each local authority would continue to set a local schools funding formula, in consultation with local schools.

The Department for Education would be putting forward plans to move to a "hard" National Funding Formula in the future, which would determine school funding allocations directly, rather than local funding formula. They

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had stated that they would work with local authorities and stakeholders to make the transition, further information on the process would follow.

DSG management plans would need to be discussed with schools forum and it should set out the local authority's plans for bringing the DSG spend back into balance.

In respect to the Central School Services Block the Department for Education had increased the total funding for ongoing responsibilities by 3.8% and reduced historic commitments funding by 20%.

In regard to High Needs Funding the national increase in funding from 2020/21 to 2021/22 would be £730million.

**Agreed** that Schools Forum note the content of the report based on the Schools revenue funding 2021/22 – operational guide and the National Funding Formulas for schools and high needs Policy document 2021/22

### 43/20 **Special Educational Needs and High Needs Block – Period 5 Monitoring report.**

Schools Forum received a report in respect to the High Needs Block monitoring position at 31 August 2020, projected to 31 March 2020.

The HNB current grant for 2020/21 stood at £48.583million.

The table below shows budget for 2020/21, the anticipated outturn as at 31 March 2021 and variance from budget

<b>Budget Heading</b>	<b>Budget 2020/21 £000</b>	<b>Anticipated Outturn 31/3/21 £000</b>	<b>Variance from Budget £000</b>
1) Out of Borough Placements	4,929	5,575	646
2) Pupil Top Up and Place Funding	29,433	29,433	0
3) Post 16 Colleges	2,323	2,323	0
4) Hospital PRU	1,073	1,173	100
5) SEN Support Services	1,412	1,301	(111)
6) Support for Inclusion	4,574	4,039	(535)
7) Alternative Provision	1,911	1,941	30

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8) SEN Developments	1,099	502	(597)
8) Other SEN Funding	1,677	1,697	20
10) Exclusions & Reintegration	152	116	(36)
<b>TOTAL</b>	<b>48,583</b>	<b>48,100</b>	<b>(483)</b>

Out of Borough Placements was the biggest overspend due to lack of provision in the borough.

There had been 25 new placements made following preparation of the budget whereas an allowance of 10 additional placement had been included in the original budget.

Alternative provision appeared that it would be under budget, panel had made an impact, increased numbers were going through Alternative Provision. Period 6 report would be brought back to the next meeting with more information.

**Agreed** that Schools Forum note the content of the report in relation to the 2020/21 HNB Grant budget monitoring for the period 1 April to 31 August 2020.

**The Next Meeting of Schools Forum 9 November 2020 @ 2.30pm**

**Meeting ended at 3.57pm**

[Democratic\\_Services@Sandwell.gov.uk](mailto:Democratic_Services@Sandwell.gov.uk)

**Schools Forum**

**9<sup>th</sup> November 2020**

**Schools Revenue Funding 2021/22 Consultation**

**This report is for decision**

**1. Recommendations:**

That school forum members approve:

- 1.1 The Schools Revenue Funding 2021/22 Consultation document to be issued to schools and academies and other interested stakeholders.

**2. Purpose**

- 2.1 To present and get approval of the Schools Revenue Funding 2021/22 Consultation document to be issued to schools and academies.

**3. Links to School Improvement Priorities**

- 3.1 Sandwell has an ambition to ensure that all schools and academies in the borough are rated as Good or Better by Ofsted. To achieve this during times of austerity will require astute and prudent usage of finite, and reducing, resources.
- 3.2 There remain significant financial challenges in the education sector at present. It is clear that, despite recent funding announcements, proposed schools funding arrangements will not fully offset the effects over the last 10 years of inflation, the national pay awards, the apprenticeship levy and changes to employers pay contributions. Equally, schools will also have to source many services once provided free by the council.
- 3.3 Given that these factors have impacted, over time, detrimentally on local budgets, the decisions taken by the current School Forum need to consider how the factors contained within the

schools budget formula deliver an equitable spread of resources to all schools, which targets areas of need whilst protecting those that are most financially vulnerable.

- 3.4 Schools Forum will need to consider the impact of a “hard” National Funding Formula, if and when implemented, and the continued steps the borough should take to move towards this; taking in to account minimum funding guarantees to allow schools time to prepare for, and manage, future changes in funding.

#### **4. Report Details**

- 4.1 At the end of August 2019, the government announced that funding for schools and high needs would increase by £2.6 billion for 2020/21, £4.8 billion for 2021/22, and £7.1 billion for 2022/23, compared to 2019/20.
- 4.2 The government has published provisional schools and high need funding allocations for 2021/22, which is the second year of the three year funding increase.
- 4.3 The Dedicated Schools Grant consists of 4 blocks; schools, high needs, early years and the new central schools services block Each of the blocks of the (DSG) has been determined by a separate national funding formula (NFF).
- 4.4 Schools block funding is based on notional allocations for each school, which will be aggregated to arrive at the schools block funding for each local authority.
- 4.5 The DfE have confirmed that “in light of the need to focus efforts on meeting the challenges of COVID-19 we are not changing local authorities flexibility over the distribution of school funding in 2021/22.”
- 4.6 The government have stated they will shortly put forward proposals to move to a “hard” NFF in future, which will determine schools’ budgets directly, rather than through local formulae set independently by each local authority.
- 4.7 Local authorities will continue to have the responsibility to set a local formula to distribute the funding allocated to them to schools in their area in 2021/22.



4.8 The Schools Block NFF in 2021/22 has been updated with new factor values and there have been two technical changes.

- The teachers' pay grant (TPG) and the teachers' pension employer contribution grant (TPECG) including the supplementary fund has been added to schools' NFF allocations for 2021/22. It has been added to the basic per pupil entitlement, to the minimum per pupil funding levels and to schools' baseline so that it is protected through the funding floor. In an effort to reflect the current grant funding the government have added £180 per pupil for primary school and £265 per pupil for secondary schools onto the basic entitlement.
- The 2019 update to the Income Deprivation Affecting Children Index (IDACI) has been incorporated so that the deprivation funding allocated through the formula is based on the latest data.

4.9 The additional monies for schools has resulted in an increase to the key factor values in the NFF by 3.0%; and the following adjustments have also been made:

- The minimum per-pupil levels will be set at £4,180 for primary schools and £5215 for KS3 and £5,715 for KS4. This means a standard secondary school with 5 year groups receive at least £5,415 per pupil. (These rates are a reflection of the TPG and TPECG being rolling into the NFF).
- The funding floor will be set at 2.0%, which is broadly in line with the current inflation.
- The Schools Block NFF will benefit from an increase of 4% to the formula's core factors. Exceptions to this are that the free school meals factor, will be increased at inflation and premises funding will continue to be allocated at local authority level on the basis of actual spend in the 2019 to 2020 APT, with an RPIX increase for the PFI factor only.

- Growth funding will be based on the same methodology as last year, and will have the same transitional protection. There will be no capping or scaling of gains from the growth factor.

#### 4.10 The DfE have also made some other changes to local formulae:

- The Local authorities will continue to set a Minimum Funding Guarantee in local formulae, which in 2021-22 must be between +0.5% and +2.0% per pupil.
- The changes to the NFF to roll in teachers' pay and pension grants and to incorporate the 2019 IDACI will also be reflected in local funding formulae. The government have stated that they do not expect the incorporation of these changes alone to require consultation with schools.
- Following the cancellation of assessments in summer 2020 due to COVID-19, local authorities will not be able to use this data as part of setting a low prior attainment factor in local funding formulae. Instead authorities will use 2019 assessment data as a proxy for the 2020 reception and year 6 cohort, which will be reflected in the data received from the DfE.
- Local authorities will continue to be able to transfer up to 0.5% of their schools block to other blocks of the DSG, with schools forum approval. In 2021/22 the total schools block available for such transfers has to exclude the additional funding that has been allocated for TPG and TPECG to guarantee that all of this funding remains with schools. If the authority were to consider such a transfer it would equate to £1.392m. A disapplication is required for transfers above 0.5%, or for any amount without school forum approval.
- The authority will not be requesting a movement of funding from the Schools block to another DSG funding block, as we recognise the significant budget pressures schools have been facing in recent years and it does not anticipate a budget pressure on the High Needs block for 2021/22 given the increases in funding from the Government.

## **Central School Services Block**

- 4.11 The central schools services block provides funding for local authorities to carry out central functions on behalf of maintained schools and academies. The block comprises two distinct elements; one for ongoing responsibilities and a cash sum for historic commitments.
- 4.12 The DfE undertook an exercise a few years ago at a national level to re-baseline historic commitments. This included:
- Schools Forum – classified as an ongoing responsibility
  - Admissions Service – classified as an ongoing responsibility.
  - Pensions Administration – continues to be classified as an historic Commitment.
- 4.13 The DfE has cut historic commitment funding by 20% to £0.182m with the expectation that funding would continue to reduce and ultimately end over time; and therefore any commitment will also reduce and end over time.

## **Consultation Proposals**

- 4.14 The consultation on the formula funding for schools for 2021/22 provides detail on the IDACI update and includes proposals on the following:
- 4.15 The funding formula to use for allocating schools budgets;
1. Option 1 – Stepped change in the ratio - LA Formula (change in AWP/MFG) with a ratio of 1:1.25 in year 1, 1:1.27 in year 2; and 1:1.29 in year 3. This will be the second year of the stepped change.
  2. Option 2 – Secondary Schools receive 1% more above the overall increase in funding.
  3. Option 3 – National Funding Formula Factor Values
    - **Pupil Number Growth Contingency Fund;** a fund of £1.091m is being proposed.
    - **De-delegation proposals;** there are 5 de-delegated proposals to be considered by maintained schools.

The trade union bodies for; the National Association of Head Teachers (NAHT); the National Education Union (NEU) and the Association of school and college leaders (ASCL) has written to the authority requesting their letter is passed onto the schools forum so that they can consider voting for both Primary and secondary phases for trade union facilities time. **(Please refer to page 33 of the consultation document for a copy of the letter.)**

- **Education Functions**; there are 3 Education function proposals to be considered by maintained schools.
- **Minimum funding guarantee and capping of gains**; this is to ensure the costs of providing the minimum funding guarantee protection are covered.
- **Central Schools Services Block**; there are 4 proposals to be considered by all schools, maintained schools and academies.

4.16 This consultation is applicable for one year only (2021/22).

4.17 The Schools Funding 2021/22 Consultation document is attached. The deadline for stakeholders to respond is **noon on Wednesday 2nd December 2020.**

## 5. **Recommendations**

That school forum members approve:

5.1 The Schools Funding 2021/22 Consultation document to be issued to schools and academies and other interested stakeholders.

Rosemarie Kerr, Principal Accountant – Schools

Date: 02/11/2020

Contact Officer: Rosemarie Kerr

Tel No: 0121 569 8318

# Schools Revenue Funding 2021-2022



## Consultation Document

Schools Strategic Finance Unit  
1 Providence Place  
West Bromwich  
B70 8SZ

Email: [schools\\_financialservices@sandwell.gov.uk](mailto:schools_financialservices@sandwell.gov.uk)  
[www.sandwell.gov.uk](http://www.sandwell.gov.uk)

## SCHOOL FUNDING 2021-22

### CONSULTATION DOCUMENT ON SCHOOL FUNDING ARRANGEMENTS 2021-22

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## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### INTRODUCTION

#### 1. Introduction

- 1.1 In August 2019, the government announced that funding for schools and high needs would increase by £2.6 billion for 2020/21, £4.8 billion for 2021/22, and £7.1 billion for 2022/23, compared to 2019/20. This funding includes additional funding for children with special educational needs and disabilities.
- 1.2 The government has published provisional schools and high need funding allocations for 2021/22, which is the second year of the three year funding increase.
- 1.3 Each of the blocks of the Dedicated Schools Grant (DSG) has been determined by a separate national funding formula (NFF).
- 1.4 Schools block funding is based on notional allocations for each school, which will be aggregated to arrive at the schools block funding for each local authority.
- 1.5 The DfE have confirmed that “in light of the need to focus efforts on meeting the challenges of COVID-19 we are not changing local authorities flexibility over the distribution of school funding in 2021/22.”
- 1.6 The government have stated they will shortly put forward proposals to move to a “hard” NFF in future, which will determine schools’ budgets directly, rather than through local formulae set independently by each local authority. local authorities will continue to determine local formulas in 2021/22.
- 1.7 In 2021/2022, local authorities will continue to have discretion over the design of the majority of their funding formulae.
- 1.8 The funding factors used in the Schools Block NFF remain the same, but there are two technical changes:
  - The teachers’ pay grant (TPG) and the teachers’ pension employer contribution grant (TPECG) including the supplementary fund has

been added to schools' NFF allocations for 2021/22. It has been added to the basic per pupil entitlement, to the minimum per pupil funding levels and to schools' baseline so that it is protected through the funding floor. In an effort to reflect the current grant funding the government have added £180 per pupil for primary school and £265 per pupil for secondary schools onto the basic entitlement.

- The 2019 update to the Income Deprivation Affecting Children Index (IDACI) has been incorporated so that the deprivation funding allocated through the formula is based on the latest data.

1.9 The additional monies for schools has resulted in an increase to the key factor values in the NFF by 3.0%; the following adjustments have also been made:

- The minimum per-pupil levels will be set at £4,180 for primary schools and £5,215 for KS3 and £5,715 for KS4. This means a standard secondary school with 5 year groups receive at least £5,415 per pupil. (These rates is a reflection of the TPG and TPECG being rolling into the NFF).
- The funding floor will be set at 2.0%, which is broadly in line with the current inflation.
- Schools will benefit from an increase of 4% to the formula's core factors. Exceptions to this are that the free school meals factor, will be increased at inflation and premises funding will continue to be allocated at local authority level on the basis of actual spend in the 2019 to 2020 APT, with an RPIX increase for the PFI factor only.
- Growth funding will be based on the same methodology as last year, and will have the same transitional protection. There will be no capping or scaling of gains from the growth factor.

1.10 The DfE have also made some other changes to local formulae:

- Local authorities will continue to set a Minimum Funding Guarantee in local formulae, which in 2021-22 must be between +0.5% and +2.0%.
- The changes to the NFF to roll in teachers' pay and pension grants and to incorporate the 2019 IDACI will also be reflected in local funding formulae. The government have stated that they do not expect the incorporation of these changes alone to require consultation with schools.



- Following the cancellation of assessments in summer 2020 due to COVID-19, local authorities will not be able to use this data as part of setting a low prior attainment factor in local funding formulae. Instead authorities will use 2019 assessment data as a proxy for the 2020 reception and year 6 cohort, which will be reflected in the data received from the DfE.
- Local authorities will continue to be able to transfer up to 0.5% of their schools block to other blocks of the DSG, with schools forum approval. In 2021/22 the total schools block available for such transfers has to exclude the additional funding that has been allocated for TPG and TPECG to guarantee that all of this funding remains with schools. If the authority were to consider such a transfer it would equate to £1.392m. A disapplication is required for transfers above 0.5%, or for any amount without schools forum approval.
- The authority will not be requesting a movement of funding from the Schools block to another DSG funding block, as we recognise the significant budget pressures schools have been facing in recent years and it does not anticipate a budget pressure on the High Needs block for 2021/22 given the increases in funding from the Government.

### **Central School Services Block**

- 1.11 The central schools services block provides funding for local authorities to carry out central functions on behalf of maintained schools and academies. The block comprises two distinct elements; one for ongoing responsibilities and a cash sum for historic commitments.
- 1.12 The DfE undertook an exercise a few years ago at a national level to re-baselines historic commitments. This included:
- Schools Forum – classified as an ongoing responsibility
  - Admissions Service – classified as an ongoing responsibility.
  - Pensions Administration – continues to be classified as an historic Commitment.
- 1.13 The DfE has cut historic commitment funding by 20% to £0.182m with the expectation that funding would continue to reduce and ultimately end over time; and therefore any commitment will also reduce and end over time.

## **Consultation Proposals**

1.14 The consultation on the formula funding for schools for 2021/22 provides detail on the IDACI update and includes proposals on the following:

The funding formula to use for allocating schools budgets;

1. Option 1 – Stepped change in the ratio - LA Formula (change in AWPU/MFG) with a ratio of 1:1.25 in year 1, 1:1.27 in year 2; and 1:1.29 in year 3. This will be the second year of the stepped change.
2. Option 2 – Secondary Schools receive 1% more above the overall increase in funding.
3. Option 3 – National Funding Formula Factor Values
  - Pupil Number Growth Contingency Fund.
  - Central Schools Services Block
  - Education Functions.
  - De-delegation proposals.
  - Minimum funding guarantee and capping of gains.

1.15 This consultation is applicable for one year only (2021/22).

1.16 The Schools Forum at its meeting on 9th November 2020 approved the options for wider consultation with schools.

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### SANDWELL CONTEXT

## 2. Sandwell Context

- 2.1 Sandwell has an ambition to ensure that all schools and academies in the borough are rated as Good or Better by Ofsted. To achieve this during times of austerity will require astute and prudent usage of finite, and reducing, resources.
- 2.2 There remain significant financial challenges in the education sector at present. It is clear that, despite recent funding announcements, proposed schools funding arrangements will not fully offset the effects over the last 10 years of inflation, the national pay awards, the apprenticeship levy and changes to employers pay contributions. Equally, schools will also have to source many services once provided free by the council.
- 2.3 Given that these factors have impacted, over time, detrimentally on local budgets, the decisions taken by the current School Forum need to consider how the factors contained within the schools budget formula deliver an equitable spread of resources to all schools, which targets areas of need whilst protecting those that are most financially vulnerable.
- 2.4 Schools Forum will need to consider the impact of a “hard” National Funding Formula, if and when implemented, and the continued steps the borough should take to move towards this; taking in to account minimum funding guarantees to allow schools time to prepare for, and manage, future changes in funding.

Chris Ward

Director of Education, Skills and Employment

## SCHOOL FUNDING 2021-22

### UPDATE ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### Income Deprivation Affecting Children Index – Data Update

#### 4. Income Deprivation Affecting Children Index – (IDACI) Data Update

- 4.1 IDACI is an area-based index measuring the relative deprivation of different areas, and ascribes a score as well as a rank to each Lower-layer Super Output Area (LSOA). The 2015 data has been updated to utilise the 2019 data as this provides a more up-to-date measure of the relative deprivation of different areas.
- 4.2 For both the schools and high needs NFF, the IDACI scores are divided into seven bands, A to G, with band A representing the most deprived areas. Additional funding is directed by reference to the number of pupils in each of bands A-F, with higher per pupil amounts directed to pupils in the more deprived bands.
- 4.3 As the DfE have moved to using the 2019 data, they have also changed the banding structure to reflect the new data. This change was deemed necessary to ensure that the amount of deprivation funding allocated through IDACI does not decrease.
- 4.4 The new band boundaries will be based on the proportion of LSOAs in each band. In line with MHCLG recommendations, this means that the banding boundaries will be defined by rank rather than by score. The new IDACI bands are set out in the table below. These apply to both the schools and high needs NFF.

**Table: New NFF IDACI bands**

Band	A	B	C	D	E	F	G
% of LSOAs in each band	2.5%	5%	5%	5%	10%	10%	62.5%
Ranks covered by each band	1 - 821	822 - 2463	2464 - 4105	4106 - 5747	5748 - 9032	9033 - 12316	12317 - 32844

- 4.5 The table shows that 2.5% of LSOAs (those ranked between 1 and 821) will be placed in the highest IDACI band, band A; 5.0% of LSOAs (those ranked between 822 and 2463) will be placed in band B; and so forth. 62.5% of

LSOAs will be placed in band G, which does not attract additional funding under the NFF.

- 4.6 The government have stated that “The new banding methodology will ensure that the proportion of pupils attracting funding through each band will remain broadly unchanged from 2020-21. Where there have been small changes in the proportions, we have increased the factor values of some bands by more than 3.0% in the schools NFF to ensure that the average funding, per eligible pupil, allocated through IDACI increases by 3.0%.”
- 4.7 The following table details the changes in Sandwells’ IDACI data with the 2019 update. The data shows that using the same pupil numbers and pupil characteristics and the same IDACI band rates as used for 2020/21 that funding for Sandwell schools even though there are more pupils that could attract funding (1,708 more pupils fall in bands A – F with the 2019 update) the total funding overall would reduce by £0.913m.

<b>SMBC Change in Data with 2019 IDACI Update</b>					
<b>Description</b>	<b>Eligible proportion of primary NOR</b>	<b>Eligible proportion of secondary NOR</b>	<b>Total Pupils</b>	<b>Sub Total</b>	<b>Total</b>
					<b>(913,529)</b>
IDACI Band F	154	(9)	145	0	
IDACI Band E	2,673	1,502	4,175	706,136	
IDACI Band D	(1,142)	(752)	(1,894)	(1,026,823)	
IDACI Band C	1,586	1,153	2,739	1,648,635	
IDACI Band B	(2,212)	(1,426)	(3,638)	(2,383,175)	
IDACI Band A	73	109	182	141,697	
<b>Total - Band A- F</b>	<b>1,131</b>	<b>577</b>	<b>1,708</b>	<b>(913,529)</b>	
<b>Total - Band A- E</b>	<b>978</b>	<b>586</b>	<b>1,563</b>	<b>(913,529)</b>	

- 4.8 As a result of the above data, the authority has made every effort to stabilise the funding through this factor by increasing the factor values to ensure that at least the same level of funding as 2020/21 is achieved. The percentage increases in the factor values have not been applied consistently throughout, but has been completed in the context of movement of pupils between the bands. For example; bands B and C have been increased more than other bands because of the significant movements between them.
- 4.9 The authority has adopted an approach broadly in line with the Government to deal with the Sandwell specific issues of the 2019 IDACI update.

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### FUNDING FORMULA OPTIONS

#### 5. FUNDING FORMULA OPTIONS

- 5.1 At the Cabinet meeting in January 2019, cabinet advised Schools Forum that although the funding ratio between Primary and Secondary schools remained unchanged for the financial year 2019/2020 this was with the expectation that a ratio change would be implemented towards the NFF values from April 2020.
- 5.2 The authority has modelled 3 options for calculating schools revenue budget for 2021/22. There are some general adjustments which applies to all options which are as follows:

- Q3 Langley opened in September 2016 with a PAN of 240 for each year group.
- The Shireland High Technology Primary opened in September 2019 with a PAN of 60 for Reception and this has been reflected in the “Schools funding model” as required by the DfE.
- The West Bromwich Collegiate Academy opened in September 2019 with a PAN of 150 for each year group. The school agreed to accept 175 Year 7 pupils for 2019/20 to meet the anticipated needs for the Smethwick area.
- The amalgamation of Warley Infants and Bleakhouse Junior Schools in Bleakhouse Primary School on 1<sup>st</sup> September 2020. The guidance states that where schools have amalgamated during the financial year 2020/21, they will retain the equivalent of 85% of the predecessor schools’ lump sums for the financial year 2021/22.

For example, assuming a lump sum of £100,000, the additional payment would be £70,000 ( $(£100,000 \times 2) \times 85\% - £100,000$ )

Local authorities may apply to provide a second year of protection. Applications must specify the level of protection sought, although the expectation from the DfE is that the additional protection would not exceed 70% of the combined lump sums. They have stated they will consider applications on a case-by-case basis.

The funding formula options are as follows:

**Option 1: Stepped increase to the 2021/22 Local authority model - Increase of the Primary: Secondary Ratio 1:1.27 (2<sup>nd</sup> Year) of a three year increase to 1:1.29 (3<sup>rd</sup> Year)**

- 5.3 This model uses the same factors as previous years, with some of the factor values increased to reflect the increases in funding and the rolling in of the TPG and TPECG and to reflect the changes in IDACI factor values. The primary: secondary ratio set at 1:1.27. The following factors have been updated to reflect the changes detailed above in section 3.1 and:
- Basic Entitlement for Primary, KS3 and KS4 pupils
  - IDACI Factor values
  - MFG so as to remain within the total funding available.
- 5.4 Please refer to **Appendix FF1** for further detail.
- 5.5 The DfE have issued an “Analysis of local authorities’ schools block funding formulae” for 2020/21. As part of this analysis, local authorities’ schools block funding formulae have been used to calculate the relative differences in per-pupil funding allocated to secondary pupils compared to primary pupils. A ratio of 1 : 1.24, for instance, indicates that secondary-age pupils in a local authority receive, on average, 24% more funding per head than primary-age pupils.
- 5.6 The overall ratio nationally across all local authorities is 1 : 1.298, a slight increase from the 2019/20 formulae where it was 1 : 1.297.
- 5.7 The following table is a comparison of the primary : secondary ratio for our statistical neighbours for the financial years 2017/18 to 2020/21. Sandwell’s ratio for 2020/21 was agreed at 1:1.25 whereas before it had remained unchanged at 1 : 1.23 for several years.

Statistical Neighbour Comparison of Primary: Secondary Ratio 2017/18 - 2020/21								
Rank (1 = closest)	Local Authority	Closeness in ranking	ID	Primary: Secondary Ratio 2017/18	Primary: Secondary Ratio 2018/19	Primary: Secondary Ratio 2019/20	Primary: Secondary Ratio 2020/21	Change in ratio from 2019/20 to 2020/21
1	Wolverhampton	Very Close	336	1.34	1.34	1.34	1.36	0.01
2	Walsall	Very Close	335	1.25	1.24	1.24	1.24	0.00
3	Derby	Close	831	1.30	1.35	1.36	1.30	-0.05
4	Birmingham	Close	330	1.30	1.31	1.33	1.32	-0.01
5	Coventry	Close	331	1.31	1.32	1.31	1.32	0.01
6	Peterborough	Close	874	1.36	1.33	1.33	1.34	0.00
7	Nottingham	Close	892	1.35	1.35	1.34	1.34	0.00
8	Stoke -on Trent	Close	861	1.27	1.29	1.29	1.29	0.00
9	Luton	Close	821	1.32	1.32	1.34	1.35	0.01
10	Blackburn with Darwen	Close	889	1.39	1.35	1.34	1.34	0.00
	Sandwell		333	1.23	1.23	1.23	1.25	0.02
	Dudley		332	1.22	1.33	1.32	1.33	0.01

**5.8 Option 2: Secondary Schools receive 1% more above the overall increase in funding.**

5.9 This model gives secondary schools 1% more of the additional funding than primary pupils (The 1% is calculated on the basis of funding to primary and secondary schools after adjusting for TPG and TPECG, but prior to applying MFG and MPPF).

5.10 Please refer to **Appendix FF2** for further detail.

**5.11 Option 3: National Funding Formula Factor Values**

5.12 This model uses the factor values used in the National Funding Formula, without applying the Area Cost Adjustment. In order to fund the Pupil number Growth fund at £1.091m and the MFG to be set at 0.5% to keep in line with the modelling of the other options, the basic entitlement for each phase had to be reduced by approximately £5 in order to remain within the overall funding available. The pupil characteristics will be updated for the October 2019 census and as a result the MFG as well as the funding available could change.

5.13 Please refer to **Appendix FF3** for further Detail



## Modelling - Financial Health Warning

The modelling, and the resultant individual school and factor value information, are intended to illustrate the impact of the LA's proposals. However, **the factor values implied by the modelling cannot be guaranteed for 2021-22 at this stage**. All of the modelling has been based on the data used to determine schools' 2020-21 budget shares. The actual budgets and factor values for next year will be affected by:

- (a) The actual Schools Block quantum for 2021-22 including the impact of demographic data changes, over and above pupil numbers, that are not reflected (funded) in the Schools Block e.g. increases in free school meals entitlement, changes in school rates valuations, etc.;
- (b) The impact of unfunded cost pressures, such as any increase in the National Non Domestic Rating multiplier which determines schools' rates costs, and for which no increase in funding will be received;
- (c) Any changes arising from the responses to this consultation.

### Consultation Question 1

Please indicate the option you prefer to use for calculating school funding for 2021/22:

- Option 1: – Local Authority Formula – Stepped increase primary : secondary ratio is 1:1.27 (2<sup>nd</sup> Year) 1:1.29 (3<sup>rd</sup> Year)
- Option 2: Secondary Schools receive 1% more above the overall increase in funding.
- Option 3: – National Funding Formula factor values

(Please only mark one option)

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### Pupil Number Growth Fund

#### 6. Pupil Number Growth Fund

- 6.1 Local authorities may topslice the DSG to create a growth fund. The growth fund is ring-fenced so that it is only used for the purposes of supporting growth in pre-16 pupil numbers to meet basic need, to support additional classes needed to meet the infant class size regulation and to meet the costs of necessary new schools. These will include the lead-in costs, post start-up costs and any diseconomy of scale costs.
- 6.2 Local authorities are responsible for funding these growth needs for all schools in their area, for new and existing maintained schools and academies.
- Local authorities must fund all schools on the same criteria.
  - Where growth occurs in academies that are funded by ESFA on estimates, the ESFA will use the pupil number adjustment process to ensure the academy is only funded for the growth once.
- 6.3 The Authority has estimated the costs for authority led expansions of schools to cater for the increase in birth rates, pre-opening and diseconomy of scale costs for West Bromwich Collegiate Academy and it has also estimated mid- year admissions costs.
- 6.4 For the last 4-5 years the pupil number growth fund has been set at £2.269m, however since 2019/20 the level of recoupment that the LA has been able to retain has increased to an average of £0.544m per year compared to levels of £0.178m per year prior to 2019/20. This has therefore increased the total Pupil number growth funding in the last few years.
- 6.5 The average expenditure incurred against the Pupil number growth fund for the last three years is £2.422m per year. It has been estimated that with a cumulative underspend as at 31<sup>st</sup> March 2021 and projected reduction in recoupment by the DfE totalling £1.331m; the growth funding required for 2021/22 can be reduced to £1,091,100.
- 6.6 This estimation of the Pupil number growth fund is based on currently agreed criteria.

### **DFE Growth fund – New formulaic method**

- 6.7 Growth funding is within local authorities' schools block NFF allocations. Since 2019/20, growth funding has been allocated to local authorities using a formulaic method based on lagged growth data. The change in the method of funding to local authorities has not changed the way in which authorities can allocate funding locally.
- 6.8 For each local authority, the growth factor will allocate:
- £1,455 for each primary “growth” pupil,
  - £2,175 for each secondary “growth” pupil
  - £68,700 for each brand new school that opened in the previous year (that is, any school not appearing on the October 2019 census but appearing on the October 2020 census).
- 6.9 The authority will not know the full financial implication of growth funding until the DSG allocations are announced in December 2020. The authority will continue to keep under review the criteria for the allocation of pupil number growth funding to schools.

### **Consultation Question 2**

Do you agree that we should set the Pupil Number Growth fund for 2021/22 at £1,091,100.

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### DE- DELEGATION PROPOSALS 2021/22

#### 7. De-Delegation Proposals 2021/22

- 7.1 A schedule (**Appendices – page 22**) summarises the de-delegated budget proposals that are being consulted on for 2021/22.
- 7.2 Proformas explaining each proposal are included immediately after Section 10 - Consultation Process Timetable

#### **Consultation Question 4**

Please indicate the de-delegated budget proposals you agree with:

- 1) Health & Safety Licences
- 2) Evolve
- 3) Union Facilities Time
- 4) School Improvement
- 5) Schools in financial difficulties

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### EDUCATION FUNCTIONS FOR MAINTAINED SCHOOLS

#### 8. Education Functions for maintained schools

- 8.1 Local authorities can fund services previously funded from the general funding rate of the ESG (for maintained schools only) from maintained school budget shares, with the agreement of maintained school members of the schools forum.
- 8.2 The relevant maintained schools members of the schools forum (primary and secondary, should agree the amount the local authority will retain.
- 8.3 The authority's strategy is to only put forward those services which are felt to be absolutely necessary for maintained schools.
- 8.4 Sandwell, in line with guidance intend to set a single rate per 5 to 16 year old pupil for all mainstream maintained schools, both primary and secondary. The rate of £14.41 per pupil is based on Oct 2019 census data, this will be updated to be based on Oct 2020 census data.
- 8.5 If the local authority and schools forum are unable to reach a consensus on the amount to be retained by the local authority, the matter can be referred to the Secretary of State.
- 8.6 A schedule (**Appendices – page 40**) summarises the education functions proposals for maintained schools. An education functions proforma has also been completed for each service and is included towards the end of the document.

#### **Consultation Question 5**

Please indicate the Education Functions proposals for maintained schools you agree with:

- Education Benefits Team - £175,000
- Children's Clothing Support Allowance - £33,000
- Safeguarding & Attendance - £264,000

## SCHOOL FUNDING 2021-22

### CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22

#### Minimum Funding Guarantee

#### 9. Minimum Funding Guarantee

- 9.1 Local authorities continue to have the ability to set a pre-16 minimum funding guarantee (MFG) in their local formulae, to protect schools from excessive year-on-year changes and to allow changes in pupil characteristics (for example, reducing levels of deprivation in a school) to flow through.
- 9.2 The DfE has given greater flexibility for the MFG in 2021/22; local authorities will be able to set an MFG between +0.5% and +2% per pupil.
- 9.3 We are asking schools whether the arrangement of funding the MFG so that it is cost neutral and/or, to agree in principle, for the Council to give a MFG within the range stated in 7.2 above as long as it is within total allocated funding.

#### **Consultation Question 6**

Please indicate if you agree with

- a) An MFG of between +0.5% and 2.00% if modelling proves this is achievable within the funding given; and
- b) The application of scaling and capping if it proves necessary to be able to implement an MFG as outlined in a). and to remain within the available funding.

## **SCHOOL FUNDING 2021-22**

### **CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22**

#### **CENTRAL SCHOOL SERVICES BLOCK**

#### **10. Central School Service Block**

10.1 The Central Schools Service block (CSSB) was introduced, to fund local authorities for the statutory duties they hold for both maintained schools, and academies. The CSSB brings together:

- funding previously allocated through the retained duties element of the Education Services Grant (ESG)
- funding for ongoing central functions, such as admissions and schools forum costs, previously top-sliced from the schools block.
- residual funding for historic commitments, previously top-sliced from the schools block; in this case pensions administration.

10.2 A number of the services that are covered by funding are subject to a limitation of no new commitments or increase in expenditure from 2019/20. This limit no longer applies to the Admissions Service or the servicing of schools forums.

10.3 Funding for historic commitments is based on the actual cost of the commitment. The DfE have stated they expect these commitments to reduce and cease over time and there will be no protection for historic commitments in the CSSB.

10.4 Schools Forum approval is required each year to confirm the amounts on each line for central school services.

10.5 The government have issued guidance on the responsibilities that local authorities hold for all schools; these are outlined in brief in the table below:

<b>Responsibilities LA's hold for all schools</b>	<b>Overview</b>
Statutory and Regulatory Duties	<ul style="list-style-type: none"> <li>• Director of children's services and personal staff for director.</li> <li>• Planning for Education Services as a whole.</li> <li>• Finance including Internal Audit</li> <li>• Human Resources</li> <li>• Legal</li> <li>• Standing Advisory Committees for Religious Education (SACRES)</li> </ul>
Education Welfare	<ul style="list-style-type: none"> <li>• Functions relating to the exclusion of pupils.</li> <li>• School Attendance</li> <li>• Responsibilities regarding the employment of children</li> </ul>
Asset Management	<ul style="list-style-type: none"> <li>• Management of LA's capital programme.</li> <li>• General landlord duties for all buildings owned by the local authority.</li> </ul>
Other Ongoing Duties	<ul style="list-style-type: none"> <li>• Licences negotiated centrally by the Secretary of State for all publicly funded schools; this does not require school forum approval.</li> <li>• Admissions</li> <li>• Servicing of schools forums</li> <li>• Writing to parents of year 9</li> </ul>



	pupils about schools with an atypical age of admission, such as UTC's, within a reasonable travelling distance.
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10.6 The Central School Services Block detailed proposals are included as appendices (Appendices – Page 49) Also a full list of responsibilities split between Ongoing duties for all schools and those duties for maintained schools are included immediately after the proposals. The Central Schools service block duties are set out in the left hand block of the schedule.

**Consultation Question 7**

Do you agree for the authority to provide for the responsibilities it holds for all schools from the “Central School Services Block” funding? The provisional 2021/22 allocation is £2,079,400. (This figure will be adjusted in December to reflect the October 2020 census pupil numbers)

Statutory and Regulatory, Education Welfare and Asset Management  
£1,441,400

Schools Forum - £3,000

Admissions Services - £452,600

Historical Commitment – Pensions Administration £182,400

**SCHOOL FUNDING 2021-22  
CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS 2021-22  
CONSULTATION PROCESS TIMETABLE**

**11. The following consultation process timetable includes key stakeholder group meeting dates and local authority deadlines:-**

Meeting	Date
Schools Forum (Draft Consultation document)	9 <sup>th</sup> November 2020
Final consultation document issued to schools	11 <sup>th</sup> November 2020
All Head Teachers Consultation Meeting	JEG (12 <sup>th</sup> November 2020) Primary meeting (XX/11/20) Secondary Partnership meetings-19 <sup>th</sup> November 2020
Joint Union Panel	16 <sup>th</sup> November 2020
ASGB	18 <sup>th</sup> November 2020
Cabinet Member briefing (Initial Briefing report)	16 <sup>th</sup> December 2020
<b>Deadline for Schools response</b>	<b>2<sup>nd</sup> December 2020</b>
Schools Forum (Consideration of Outcome and recommendation to Cabinet Member)	14 <sup>th</sup> December 2020
School Funding Report 2021/22 to Cabinet	13 <sup>th</sup> January 2021
School Forum (Draft Funding model)	18 <sup>th</sup> January 2021

- Officers will seek to provide answers to stakeholders who require clarification on any of the issues raised during the consultation period. Please send all queries to the email address below and we will endeavour to respond within 2 working days.
- The deadline for schools to respond to the consultation is **12 noon on Wednesday 2<sup>nd</sup> December 2020**. Consultation responses should be **emailed to [schools\\_financialservices@sandwell.gov.uk](mailto:schools_financialservices@sandwell.gov.uk)** entitled **“School Budget Consultation 2021-22”**.

# DE-DELEGATION PROPOSALS



## Proposals for DSG De-delegated Budgets 2021-22

REF	NAME	LEAD OFFICER	2021-22 proposal	Primary	Amount per Pupil	Secondary	Amount per Pupil
	<b>De-delegated Budgets (Maintained Schools)</b>		Pupil No	26,671		6,090	
			FSM	5,936		1,404	
	<b>Licences/Subscriptions</b>						
1	Health and Safety Licenses and Subscriptions	Andrew Timmins	28,000	22,800	0.85	5,200	0.85
2	EVOLVE Annual Licence Fee	Richard Oakes	6,200	5,100	0.19	1,100	0.18
	<b>Total Licences/Subscriptions</b>		<b>34,200</b>	<b>27,900</b>		<b>6,300</b>	
	<b>Staff Costs Supply Cover</b>						
3	Union Facilities Time	Andrew Timmins	242,000	202,000	7.57	40,000	6.57
	<b>School Improvement</b>	Andrew Timmins					
4	School Improvement Services		100,000	81,400	3.05	18,600	3.05
	<b>Contingencies</b>						
5	Schools in financial difficulty	Chris Ward	250,000	203,500	7.63	46,500	7.64
	<b>TOTAL DE-DELEGATED - MAINTAINED SCHOOLS</b>		<b>626,200</b>	<b>514,800</b>		<b>111,400</b>	

DRAFT

<b>DSG CENTRALLY RETAINED PROPOSAL 2021-22</b>		<b>NO:</b>	
<b>Title of Proposal</b>	Health and Safety Licences and Subscriptions	<b>Date</b>	Oct 2020
<b>Lead Officer</b>	Andy Timmins	<b>Contact Tel.</b>	0121 569 8302
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		£28,000	
<b>Which phase of school does this support (✓)?</b>		<b>Primary</b>	<b>Secondary</b>
		✓	✓
<b>What proportion will each phase bear? Please state as an amount per pupil.</b>		<b>Primary</b>	<b>Secondary</b>
		Services to be apportioned on an amount per pupil	
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b>	
As detailed in the 'benefits to schools' forum' section below			
<b>How has this proposal been calculated?</b>			
<p>This proposal has been calculated based on the subscription and licence costs for the services outlined below, with a support element (salary costs) to administer the associated functions. Please note that costs included in this proposal have been estimated, based on 2019 - 20 subscription rates as costs for 2021-22 have not yet been confirmed, so may be subject to change:</p> <ol style="list-style-type: none"> <li>1. European Education Consultants (EEC) H&amp;S Risk assessment and self-audit software; full access to the risk assessment function; annual self-monitoring audits with feedback and action plans to aid improvement and maintenance of H&amp;S standards within the school</li> <li>2. In the line of Fire e-learning package (ATF Solutions): licence to the e-learning training package for the forthcoming year</li> <li>3. CLEAPSS: subscription to the national school science and design and technology advisory body.</li> </ol>			
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>			
<p>EEC Software: risk assessments for applying to all activities and areas within the school environment: Head Teachers can be more confident that their risk assessments are 'suitable and sufficient'</p> <p>Self-audit function provides a benchmark to ensure schools are compliant in the areas assessed, with action plans to assist you in improving standards where identified as necessary</p>			

In the line of fire: contributes to meeting statutory duties under the Regulatory Reform (Fire Safety) Order (RRFSO) which requires all staff to receive fire safety training. "In the Line of Fire for Schools" has been specifically designed for Sandwell Teaching Staff and Non-Teaching Staff, with an additional area that can also be utilised by pupils.

CLEAPSS; membership allows access to termly newsletters, a wide range of free safety publications, model risk assessments, and a telephone helpline. An additional element of the subscription for Secondary schools meets the statutory duties as required by the Ionising Radiation Regulations 1999, of having an appointed suitable Radiation Protection Advisor (RPA) and ensuring the safe management of radioactive substances.

**What will be the impact if School Forum agree to purchase the statutory element of the service only?  
(Please give details on the total cost for the year, cost per pupil for each phase, service delivered)**

N/A – all elements of the licences and subscriptions proposal relate to statutory requirements

**What will be the impact if Schools Forum do not agree to this proposal?**

Schools will not be able to 'do nothing' as they will fall foul of Health and Safety Law and the Regulatory Reform (Fire Safety) Order, which could result in action being taken by the Enforcing Authorities (Health and Safety Executive and Fire Service) against the Head Teacher and Governing Body.

**How will the amount be deployed?**

<b>Salaries (£)</b>	£4,954.00	
<b>Services (£)</b>	£23,046.00	
<b>Schools (£)</b>		

**How will expenditure be monitored?**

Expenditure will be monitored by Andy Timmins, on behalf of the schools.

**How will impact be evaluated?**

The proposal facilitates the provision of tools to aid the improvement and on-going maintenance of H&S standards within schools, particularly in the areas of risk assessment, fire safety awareness amongst all staff, and specialist advice and support for safe Design & Technology and Science curricular activities across both primary and secondary phases.

**Please detail any income generated by the service?**

N/A

<b>DSG DE-DELEGATED PROPOSAL 2021-22</b>			<b>NO:</b>
<b>Title of Proposal</b>	EVOLVE Annual licence fee	<b>Date</b>	09.09.20
<b>Lead Officer</b>	Richard Oakes	<b>Contact Tel.</b>	01952 810799
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		6,200	
<b>Which phase of school does this support (✓)?</b>		<b>Primary</b>	<b>Secondary</b>
		yes	yes
<b>What proportion will each phase bear? Please state as an amount per pupil.</b>		<b>Primary</b>	<b>Secondary</b>
		5,100 £0.19	1,100 £0.18
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b>	<b>No</b>
Yes. There is a statutory duty on the Local Authority to provide Health and Safety advice to all of its employees.			
<b>How has this proposal been calculated?</b>			
Proportionate use of the licence by Primary and Secondary phase, to cover the cost of the licence (£6,217 in 2019/20).			
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>			
This will renew the licence enabling all schools and LA Educational Visits Advisers to access the computerised EVOLVE system, supporting the safe and effective management of Educational Visits, enhancing children's educational experiences. This provision has been in place since 2013-14.			
<b>What will be the impact if School Forum agree to purchase the statutory element of the service only? (Please give details on the total cost for the year, cost per pupil for each phase, service delivered)</b>			
The request is for the licence fee only to meet the statutory requirements of the service			
<b>What will be the impact if Schools Forum do not agree to this proposal?</b>			
The level of advice and support given to schools for the safe and effective management of educational visits will be severely compromised.			
<b>How will the amount be deployed?</b>			
<b>Salaries (£)</b>	0		
<b>Services (£)</b>	£6,200	Payment of the EVOLVE licences.	



<b>Other costs (£)</b>	0	
<b>How will expenditure be monitored?</b>		
One-off payment monitored by LA Finance team and EVA Manager.		
<b>How will impact be evaluated?</b>		
By ensuring that all schools in the LA have access to the EVOLVE system.		
<b>Please detail any income generated by the service?</b>		
The Educational Visits Advisory team is self-funded through traded service agreements with non-community/VC schools. The licence fee amounts to 12% of the cost of the service.		

DRAFT

<b>DSG CENTRALLY RETAINED PROPOSAL 2021-22</b>			
<b>Title of Proposal</b>	<b>Central arrangement for union facilities time, including health and safety</b>	<b>Date</b>	October 2020
<b>Lead Officer</b>	Andy Timmins	<b>Contact Tel.</b>	0121 569 8302
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		£202k	(£40k)
<b>Which phase of school does this support (✓)?</b>		<b>Primary</b>	<b>Secondary</b>
		yes	yes
<b>What proportion will each phase bear? Please state as an amount per pupil.</b>		<b>Primary</b>	<b>Secondary</b>
		(£7.57)	(£6.57)
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b>	<b>No</b>
<p>Facilities time is part of a legal framework to maintain and improve the functionality of the working environment. It is for ‘trade union representatives’ i.e. “employees who have been elected or appointed in accordance with the rules of [their] union to be a representative of all or some of the union’s members in the particular company or workplace, or agreed group of workplaces where the union is recognised for collective bargaining purposes.” (ACAS) Facilities time and its funding is a statutory right under section 168 of the Trade Union and Labour Relations (Consolidation) Act 1992. The act clearly identifies that an employer is to permit an employee to take time off during their working hours for the purpose of carrying out any duties related to being a trade union official</p> <p><u>The legal position:</u></p> <ul style="list-style-type: none"> <li>• “Union representatives have a statutory right to reasonable paid time off from employment to carry out trade union duties and to undertake trade union training.” (ACAS)</li> <li>• “You must give appointed [by a trade union] safety representatives the paid time necessary to carry out their functions [and to] undergo training in these functions, as is reasonable under the circumstances.” (Health &amp; Safety Executive)</li> </ul> <p><b>There is no definition of “reasonable”</b> other than that it should be enough time for representatives to “perform effectively”, taking into account factors such as the size of the organisation and its workforce ,and the need for workers to be able to access their union representatives.</p>			
<b>How has this proposal been calculated?</b>			
<p>The spend in 2012-13 was £350k. For 2013-14 and 2014-15, Schools’ Forum decided that it would de-delegate £238k (Primary phase only) and £0k (Secondary phase). This meant a one-third reduction in the overall funding available to fund facilities time (since 2012-13) and also meant 100% of the central arrangement was funded by the Primary</p>			

phase. In 2015-16 & 2016-17 & 2017-18, this was reduced to £199k with a slight increase to £202k in the last two years.

The proposal is to request similar funding for 2021-22 of £202k for the Primary phase and figures have been included for the Secondary phase should they decide to resume their UFT funding .

In 2016, The JUP agreed a re-distribution of funding within the unions. This takes account of union membership numbers and a commensurate allocation of facilities time for representatives that reflects those numbers.

**What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)**

- The benefit to Primary and Secondary schools of agreeing to de-delegate funding is that it will enable a single central arrangement to be administered by the LA on behalf of all maintained schools in Sandwell. This means individual schools do not have to spend time being involved in a similar process on their own. As a result, this saves both, significant time and money, allowing more to be achieved in the long run.
- Local officials have local knowledge and are available quickly. The current 'local officials and a central arrangement' provides a mechanism for resolving issues at a local level that could otherwise escalate.
- Local Union Officials play a key role in updating key policies and guidance documentation through Joint Union Panel meetings (JUP), Central Health and Safety Committee meetings and side panel meetings
- Facilities funding also ensures local casework can involve a local rep, who, unlike regional reps, will usually be available at short notice and have good local knowledge. This frequently enables issues to be resolved more quickly and effectively.

**. This means individual schools do not have to spend time being involved in a similar process on their own. As a result, this saves both, significant time and money, allowing more to be achieved in the long run.**

**What will be the impact if School Forum agree to purchase the statutory element of the service only? (Please give details on the total cost for the year, cost per pupil for each phase, service delivered)**

- The statutory aspect of this policy relates to the facilities time that union representatives are entitled to. Please see below for impact if forum do not agree to the proposal.

**What will be the impact if Schools Forum do not agree to this proposal?**

- LA-wide negotiation and policy development would be lost and maintained schools would have to take on the responsibility for negotiating their own policies on an individual basis with unions. This would apply to sectors should

they not agree.

- The impact would be that LA maintained schools where the governing body is the employer – Trust and Voluntary Aided schools - have sole responsibility for providing “reasonable” union facilities time but may choose to exercise this through participation in a centrally-run system.
- For Community and Voluntary Controlled schools, funding and employer powers rest with governing bodies whilst the LA remains ‘employer of last resort’ – therefore there is a joint responsibility to ensure “reasonable” facilities time.
- If at Schools Forum each maintained sector do not agree to fund a central arrangement, each school in that sector would become individually responsible for meeting the legal requirement to give union officials representing their staff reasonable paid time off for their union duties.
- School-level union representatives are not necessarily accredited by their unions to carry out the full range of union duties. If school reps without appropriate accreditation are used to represent members during a dispute this can adversely affect both the member and the school. The union has the responsibility to ensure that the rep is correctly accredited or they leave themselves vulnerable to being sued by their members for incorrect support and advice.
- The loss of area reps, who have local knowledge of and relationship with both members and school leaders would push the work onto the regional reps who do not have those relationships or time to provide the service that the current system allows for.
- The LA would still need to maintain a ‘residual function’ covering Community and VC schools ie a central forum for borough-wide policies so funding for this would have to come from reducing funding for other services, as there is no other alternative funding source.

**How will the amount be deployed?**

<b>Salaries (£)</b>	100% on salaries	The LA would allocate this funding amongst the unions in accordance with the agreed funding formula
<b>Services (£)</b>		
<b>Schools (£)</b>		

**How will expenditure be monitored?**

- The salaries and on-costs are maintained in a single cost centre and subject to quarterly monitoring.

**How will impact be evaluated?**

- The proposed central arrangement enables employers and those with delegated

employer responsibilities to fulfil their legal responsibilities in a simple and cost effective way.

- The arrangement also enables union officials to perform their essential duties as defined by ACAS.
- An outline of the strategic work undertaken by unions over the past year.

**Please detail any income generated by the service?**

- None

DRAFT



Dear Director

We are writing on behalf of all employees working in your local authority who are members of NAHT, ASCL and the National Education Union (NEU).

You will recall that, last year, local schools agreed through your Schools Forum to partially 'de-delegate' funding for supply cover costs, including for trade union facilities time. Where de-delegation took place, we believe this was the right decision and would like to see this replicated for both Primary and Secondary phases.

We believe that the central retention and distribution of funding for trade union facilities time is the most effective and efficient arrangement and we would like to work with you to ensure that this arrangement applies to all schools. This accords with advice issued by the Local Government Association and the National Employers' Organisation for School Teachers.

Discussions are now taking place in your authority on funding arrangements for supply cover costs from April next year and we are asking you to pass the information in this letter to members in your Schools Forum and to encourage them to vote for de-delegation of funding arrangements for trade union facilities time.

Successive governments have recognised the importance of good industrial relations and have legislated to provide a statutory basis for facilities time as follows.

- Paid time off for union representatives to accompany a worker to a disciplinary or grievance hearing.
- Paid time off for union representatives to carry out trade union duties.
- Paid time off for union representatives to attend union training.
- Paid time off for union 'learning representatives' to carry out relevant learning activities.
- Paid time for union health and safety representatives during working hours to carry out health and safety functions.

These provisions are contained within the Employment Relations Act 1999 and the Trade Union Labour Relations (Consolidation) Act 1992 and the Safety Representatives and Safety Committees Regulations 1977.

NAHT, ASCL and NEU have members and union representatives in academies as well as maintained schools within your authority and, in addition to seeking your support for de-delegation, we are seeking your agreement for this funding arrangement for facilities time to be formally extended to academies within your local authority boundary.

As the DfE Advice on Trade Union Facility Time acknowledges, the trade union recognition agreement between the authority and the recognised unions will have transferred to each academy school as the new employer of the transferred staff as part of the conversion process to academy status under TUPE. We believe that, following

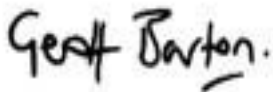
conversion, academies should also become parties to local authority trade union facilities arrangements.

The academies within your authority will have received funding for trade union facilities time in their budgets and they are permitted to use that funding to buy-back into local authority arrangements. Indeed, many academies across England have already agreed to buy in to local authority trade union facilities arrangements.

Pooled funding will help the local authority and all schools to meet their statutory obligations on trade union facilities time. Setting up a central funding arrangement will allow academies to pay into a central pool if they wish to. But most importantly it will help maintain a coherent industrial relations environment where issues and concerns whether individual or collective can be dealt with more effectively. All these points are echoed in the advice issued by the LGA and NEOST.

We urge you therefore to support the de-delegation of funding for trade union facilities time, extending it, where necessary, across all schools in order to continue or establish (if you did not do so previously) a mechanism whereby academies within your authority are able to buy into a central fund for trade union facilities time. If you agree to do so, we will write to academy principals to encourage them to buy in to your arrangement.

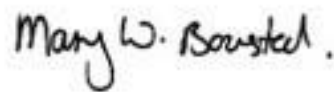
Yours sincerely




**General Secretary  
ASCL**



**General Secretary  
NAHT**



**Joint General Secretary  
NEU**



**Joint General Secretary NEU**

<b>DSG DE-DELEGATED PROPOSAL 2021-22</b>			<b>NO:</b>
<b>Title of Proposal</b>	School Improvement Service	<b>Date</b>	October 2020
<b>Lead Officer</b>	Andy Timmins	<b>Contact Tel.</b>	0121 569 8302
<b>Annual Funding Proposal (£)</b>	<b>2020-21</b>		
	£100,000		
<b>Which phase of school does this support (✓)?</b>	<b>Primary</b>	<b>Secondary</b>	
	Yes	Yes	
<b>What proportion will each phase bear? Please state as an amount per pupil.</b>	<b>Primary</b>	<b>Secondary</b>	
	£3.05	£3.05	
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>	<b>Yes</b>	<b>No</b>	
No			
<b>How has this proposal been calculated?</b>			
The amount requested is a contribution to the current School Improvement Service and would partially cover the cost of 3 core visits per term to each maintained school.			
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>			
<p>All maintained primary, secondary, special schools and PRUs to receive a termly visit from a School Improvement Adviser (SIA). Each visit will have a clear focus and an agenda which has been prepared in partnership with head teacher representatives and sent to schools in advance of the visits. Visits will focus on the school's self-evaluation and, where appropriate, support packages will be developed in discussions between leaders, managers and governors of schools.</p> <p><b>Benefits:</b></p> <ul style="list-style-type: none"> <li>• Support school self-evaluation processes and school improvement planning, offering support and challenge where appropriate to ensure every school is at least a 'good' school using the current Ofsted criteria</li> <li>• Support schools to improve at any stage of their development from inadequate to outstanding</li> <li>• Early identification of those schools that need particular levels of support and those that may be able to offer support to other schools and providers</li> <li>• Target resources to narrow the gap between vulnerable and disadvantaged children and young people and their peers</li> </ul>			



- Take decisive action to address poor performance, by providing a programme of targeted support to enable standards to improve
- Promote high standards in education by supporting effective school-to-school collaboration through a range of options including Learning Communities, Learning Hubs and Teaching Schools
- Support schools to deliver an appropriate curriculum, including the National Curriculum
- Provide support to leadership at all levels including senior and middle leaders as well as governing bodies
- Support schools in becoming autonomous, self-evaluating and successful inclusive institutions.
- Support schools by providing up to date information on Ofsted Inspection. Helping schools before, during and after an inspection
- Provide a service of quality assurance to schools relating to all aspects of school improvement
- Support school leaders and governors in recruitment processes
- Provide additional support and signpost guidance during times of exceptional need

**Through this arrangement, School Improvement Advisers are able to monitor schools and ensures that they can:**

- be an evaluative friend: facilitating opportunities for leadership to reflect on the school's performance, identify strengths and priorities for improvement and plan for effective change and improvement;
- provide an external perspective on aspects of the school's performance, development and improvement through joint evaluation activity;
- provide an objective review of the school's performance data by considering its most recent national test results, trends over time, other pupil achievement and well-being data, and the views of pupils, parents and carers and elected councillors;
- discuss and agree priorities for the forthcoming year to ensure that they are suitably ambitious to meet the school's and community's aspirations
- challenge the school on its capacity to improve and its priorities for improvement;
- Help to develop, and signpost to, effective provision and practice, leadership and management;
- agree the overall school effectiveness category;
- evaluate the impact of any brokered support package;

**What will be the impact if School Forum agree to purchase the statutory element of the service only?  
(Please give details on the total cost for the year, cost per pupil for each phase, service delivered)**

N/A

**What will be the impact if Schools Forum do not agree to this proposal?**

- School will need to purchase school improvement support from other providers to provide all the above.
- Potential for individual school performance to decline
- Schools are more likely to slip into Ofsted categories without school improvement support
- Greater responsibility on schools to resolve significant issues which may occur e.g. underperformance or a sudden decline in leadership capacity.
- Reduction in the information advice and guidance that is provided to schools over the year including Ofsted updates.
- Schools will need to find alternative ways to ensure effective quality assurance across all aspects of school improvement including governor support and challenge
- Less effective signposting to effective provision and practice;
- Reduction in guidance for governors
- Reduction of support in times of exceptional need

**How will the amount be deployed?**

<b>Salaries (£)</b>	£100,000	Contribution to the total SIA salaries budget
<b>Services (£)</b>		
<b>Other costs (£)</b>		

**How will expenditure be monitored?**

- Existing budget meetings with LA finance officers
- Quality assurance of visits to schools by senior officers
- Quality assurance of visit reports by senior officers

**How will impact be evaluated?**

- End of year performance of schools across the Local Authority
- Feedback through Ofsted reports
- Feedback from the Headteacher steering group who provide termly advice on the termly agendas for core visits

**Please detail any income generated by the service?**

- Income will not be directly generated from this funding although the service as a whole generates some additional income through a variety of ways including support to other schools and academies both in Sandwell and in other local authorities.

<b>DSG DE-DELEGATED PROPOSAL 2021-22</b>		<b>NO: 7</b>	
<b>Title of Proposal</b>	Schools in Financial Difficulty and deficits on closing schools	<b>Date</b>	October 2020
<b>Lead Officer</b>	Chris Ward	<b>Contact Tel.</b>	
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		£250,000	
<b>Which phase of school does this support (✓)?</b>		<b>Primary</b>	<b>Secondary</b>
		✓	✓
<b>What proportion will each phase bear? Please state as an amount per pupil.</b>		<b>Primary</b>	<b>Secondary</b>
		£7.63	£7.64
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>			
<b>How has this proposal been calculated?</b>			
<p>The DSG and the Council continue to face greater financial risk due to the increasing number of schools falling into financial difficulty. This is largely due to :</p> <ul style="list-style-type: none"> <li>• School unable to set a balanced budget and getting into financial difficulties.</li> <li>• Sponsored Academy conversions, when a school with a deficit becomes a sponsored academy, the deficit remains with the LA, to be funded from its core budget. If it converts with a surplus this goes to the new trust.</li> </ul> <p>School deficits are not an allowable charge on the LA's schools budget (funded by its allocation of Dedicated Schools Grant); however, if the schools forum has agreed to de-delegate a contingency provision, then the deficit may be funded from that contingency, depending on the criteria agreed for its use.</p> <p>All schools are required to submit a balanced budget that has been agreed with Governors by 15<sup>th</sup> May each year. Those schools that closed with a deficit and are unable to set a balanced budget can submit a licensed deficit application by the same date that will be considered in line with the scheme guidance. This has to be agreed by the Director for Children's Services and the Chief Finance Officer of the Council.</p> <p>This contingency would be to assist maintained schools where, for a range of potential reasons they are experiencing financial difficulty to a degree likely to impact adversely on the education of pupils.</p> <p>The amount proposed of £250,000 is to implement and build up a contingency to fund schools in financial difficulty and to be able to deal with deficit balances of closing schools.</p> <ul style="list-style-type: none"> <li>• The contingency cannot be overspent in any financial year. Once the funding has finished, no more applications/cases will be considered for that financial year.</li> </ul>			

- Schools are expected to self-fund a proportion of any claim equivalent to x% of their annual budget and eligibility for support also takes into account the school's previous, current and future balances.

**What will be the benefits to schools in Schools Forum agreeing this proposal?  
(Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)**

**What will be the impact if School Forum agree to purchase the statutory element of the service only?  
(Please give details on the total cost for the year, cost per pupil for each phase, service delivered)**

Not applicable

**What will be the impact if Schools Forum do not agree to this proposal?**

A deficit incurred from a sponsored academy conversion has to be retained by the authority. The funding of a deficit would have a detrimental impact on the services the Council are able to provide to schools.

**How will the amount be deployed?**

<b>Salaries (£)</b>		
<b>Services (£)</b>		
<b>Other costs (£)</b>		

**How will expenditure be monitored?**

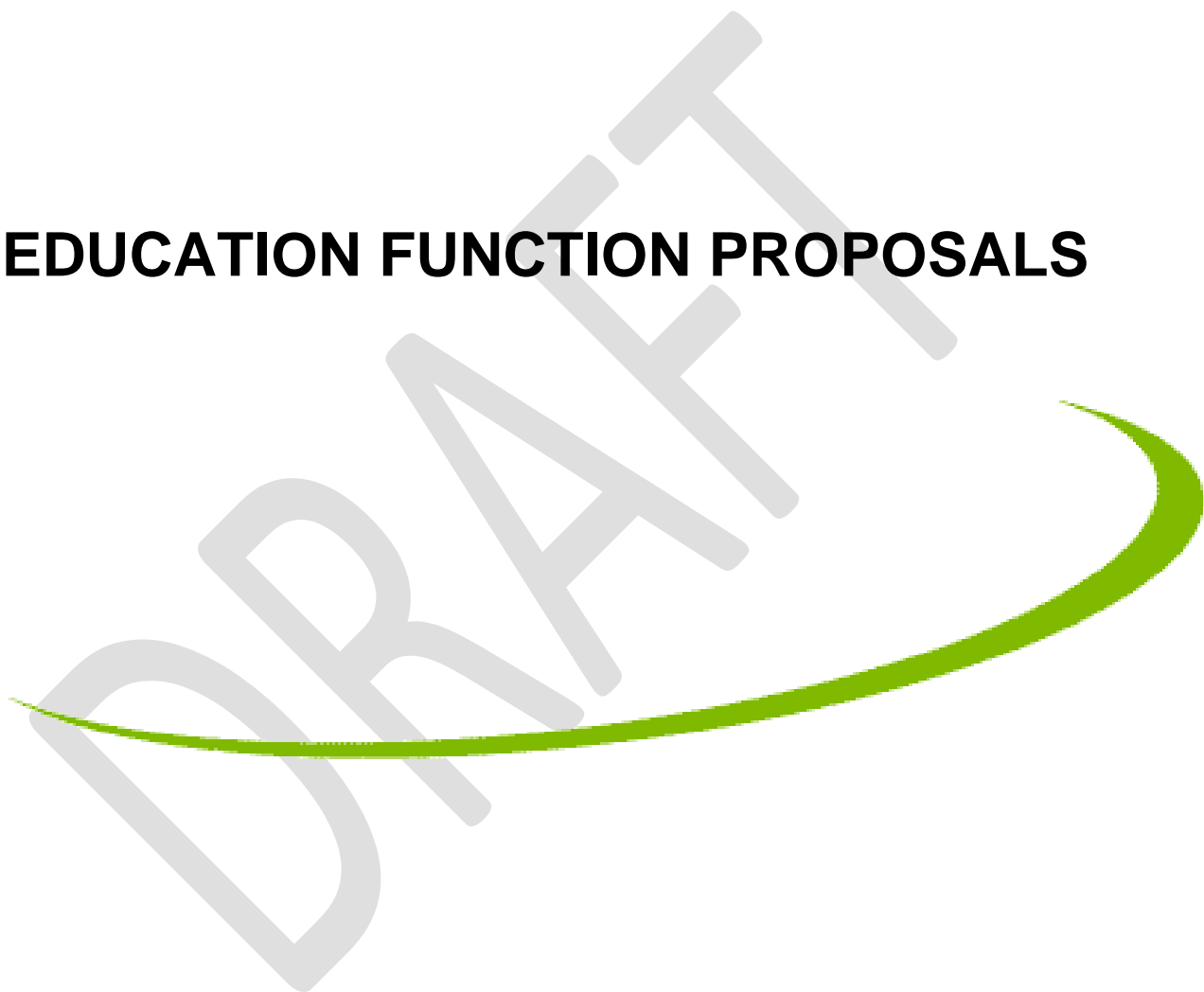
The expenditure will be monitored by the Director of Employment, Skills and Finance. Regular updates will be reported to school forum.

**How will impact be evaluated?**

**Please detail any income generated by the service?**

N/A

# **EDUCATION FUNCTION PROPOSALS**



**Education Functions 2021-22**

REF	NAME	LEAD OFFICER	2021-22	Amount per Pupil
	<b><u>Education Functions (Maintained Schools)</u></b>		Pupil No	<b>32,761</b>
	-			
<b>1</b>	Education Benefits Team	Sue Moore/Joy Djukic	<b>£175,000</b>	£5.34
<b>2</b>	Children's Clothing Support Allowance	Sue Moore/Joy Djukic	<b>£33,000</b>	£1.01
<b>3</b>	Safeguarding and Attendance	Ramsey Richards	<b>£264,000</b>	£8.06
	<b>TOTAL EDUCATION FUNCTIONS - MAINTAINED SCHOOLS</b>		<b>£472,000</b>	<b>14.41</b>

Per Pupil amount based on Oct 2019 Census.

<b>EDUCATION FUNCTIONS PROPOSAL 2021-22</b>		<b>NO:</b>
<b>Title of Proposal</b>	Education Benefits Service	Date September 2020
<b>Lead Officer</b>	Sue Moore/Joy Djukic	Contact Tel. 8329
<b>Annual Funding Proposal (£)</b>		<b>2021/22</b>
		£175,000
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b> <b>No</b>
<p>There is a statutory duty for eligibility for FSM to be checked  There is a statutory duty for Home to School transport entitlement to be assessed</p>		
<b>How has this proposal been calculated?</b>		
<p>Calculations based on the number of pupils in maintained schools eligible for FSM's as at October 2019. Funding will be deducted from each school based on the number of pupils eligible for FSM.</p> <p>Academies will be charged separately cost of service per eligible pupil.</p>		
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>		
<p>The LA that schools contribute to the full costs of delivering the current level of service. The service has been enhanced to support schools to maximise income for schools from Pupil Premium and offer support above and beyond normal service provision. This has been beneficial to schools particularly as it ensures they secure additional funding. However, the removal of Education Service Grant (£2.9m) by DfE has had significant impact on the council's ability to maintain services at the current enhanced level. It is not the council's intention to pass the entire loss of this grant on to schools but will make significant savings to ensure that minimal requests are passed to schools for funding. The Education Funding Agency requires the council to negotiate with schools on the amount that can be held back as a de-delegated proposal for this service. Given the current financial climate the council is proposing that a proportion of these costs are met by schools. The above DSG de-delegated proposal is based on schools contributing to of anticipated costs for financial year commencing Apr 2021.</p> <p>£16 million FSM Pupil Premium is received by Sandwell's maintained schools.</p> <p>Administration for FSM eligibility is undertaken by Education Benefits Team and the team's performance targets are to increase FSM eligibility and maximise Pupil Premium for Sandwell Schools.</p> <p>Provides an auditable system to schools that has reduced the bureaucracy for schools</p>		

administrators regarding FSM eligibility applications

- a) FSM eligibility is determined and instant eligibility checks done for schools/families, removing requirement for benefit evidence to be produced.
- b) Education Benefits check for new FSM claims each month to ensure continuous auditable eligibility for schools. Schools are updated weekly, using secure data transfer systems, of new and discontinued eligibility to FSM's
- c) All administration for the roll out of Universal Credit ensuring schools benefit from accurate 'protection' period dates to ensure Pupil Premium is maximised. No renewal/checking system for schools to administer.
- d) No need for families to reapply and claim continues until pupil leaves school if parent/carer remains in receipt of eligible benefits. Those families that are not eligible will continue to be checked on a monthly basis so that if circumstances change and they become eligible, school/family will be notified and there will be no need for family to make another application.
- e) Weekly updated eligibility lists to schools.
- f) on-line application facility available for parents/carers
- g) Schools benefit from the increased FSM applications which have been generated by the following initiatives :
  - School Clothing Scheme now generates FSM applications for those families who apply for clothing vouchers and do not have a current live FSM's claim. (438 New FSM apps 18/19 generating £559,000 in Pupil Premium for Sandwell's schools)
- h) Continued awareness campaign and promotion of FSM's at events throughout the Borough.
- i) Universal FSM's for all KS1 pupils – eligibility checks on all KS1 pupils to ensure that all Pupil Premium pupils can be identified for those families entitled to a Universal meal.
- j) Eligibility checks, appeals and policy development for statutory Home to School transport entitlement
- k) Administration of School Clothing Scheme

**What will be the impact if School Forum agree to purchase the statutory element of the service only?  
(Please give details on the total cost for the year, cost per pupil for each phase, service delivered)**

**What will be the impact if Schools Forum do not agree to this proposal?**

Schools will have to administer an auditable system for new FSM applications and all revisions of current applications as they would have no access to the Eligibility Checking System and Inland Revenue support for queries.

Evidence/proof of benefits will need to be obtained by school to determine eligibility for FSM's, Universal meals pupils eligible for Pupil Premium and Early Years Pupil Premium (nursery).

Schools will not benefit from the increased eligibility to FSM created by initiatives managed by the LA/Education Benefits Team (See (g) above)

Loss of expertise and knowledge from the Education Benefits Team who provide an advice/guidance service to schools and families.

School clothing support would need to be administered and managed by schools who would



need to set up their own schemes to support low income families who cannot afford to purchase school uniforms.

Schools would need to administer all changes of eligibility and eligibility protection periods within the legislation re the roll out of the Universal Credit benefit scheme.

**How will the amount be deployed?**

<b>Salaries (£)</b>		
<b>Services (£)</b>		
<b>Schools (£)</b>		

**How will expenditure be monitored?**

Ongoing budget monitoring procedures

**How will impact be evaluated?**

Numbers eligible to FSM's and Pupil Premium generated

**Please detail any income generated by the service?**

Academies are charged for service and costs to maintained schools are reduced pro rata  
Income generated from external customers is offset against costs to reduce costs of service to Sandwell maintained schools.

<b>EDUCATION FUNCTIONS PROPOSAL 2021-22</b>		<b>NO:</b>
<b>Title of Proposal</b>	School Clothing Allowance	Date September 2020
<b>Lead Officer</b>	Sue Moore/Joy Djukic	Contact Tel. 8329
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>
		33k
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b> <b>No</b>
<p>No</p> <p>Sandwell Local Authority (LA) has traditionally provided a contribution towards the purchase of school clothing to parents on a low income with children transferring to, or in, secondary school and to those starting school for the first time, where there is a requirement for them to have a uniform (year reception and years 7 to 11).</p>		
<b>How has this proposal been calculated?</b>		
Cost of £20/£25 vouchers for school uniform issued to low income families entitled to receive FSM		
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>		
<p>Assists families most in need of financial support who struggle with the cost of school uniform.  <b>Generates FSM application</b> – Criteria is the same as for FSM's and an application is generated by the clothing application thus identifying those who have not made an application for FSM.  <b>The scheme captures those families who are unwilling to apply for FSM's but do apply for the clothing voucher.</b></p> <p><b>The Clothing Scheme generated 418 new FSM applications in 19/20 and generated £559 in Pupil Premium for Sandwell Schools (plus continuous payments under Ever 6 regulation and the protected status regulations for Universal Credit benefits)</b></p>		
<b>What will be the impact if School Forum agree to purchase the statutory element of the service only? (Please give details on the total cost for the year, cost per pupil for each phase, service</b>		

<b>delivered)</b>		
N/A		
<b>What will be the impact if Schools Forum do not agree to this proposal?</b>		
<p>Schools will not benefit from the additional FSM/Pupil Premium generated by the Sandwell scheme.</p> <p>Risks identified</p> <ul style="list-style-type: none"> <li>• May have impact on attendance for those pupils no longer entitled if they are unable to purchase a uniform;</li> <li>• Could result in pupils being unable to purchase a uniform and subject to bullying;</li> <li>• Pupils from low income families in Sandwell would be affected.</li> </ul>		
<b>How will the amount be deployed?</b>		
<b>Salaries (£)</b>		
<b>Services (£)</b>	33k	Clothing vouchers
<b>Schools (£)</b>		
<b>How will expenditure be monitored?</b>		
Secure vouchers issued are monitored on a weekly basis		
<b>How will impact be evaluated?</b>		
Number of new FSM applications /Pupil Premium generated		
<b>Please detail any income generated by the service?</b>		
<p>£559K pupil premium          Academies are charged for this service and have not been included in the 33K figure which is for maintained schools only          Administration costs are absorbed by the Education Benefits Service</p>		

<b>EDUCATION FUNCTIONS PROPOSAL 2021-22</b>			<b>NO: 10</b>
<b>Title of Proposal</b>	Safeguarding / Attendance & Prosecution Service	<b>Date</b>	01/11/2020
<b>Lead Officer</b>	Ramsey Richards	<b>Contact Tel.</b>	0121 569 8147
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		£264,000	
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b> ✓	<b>No</b>
<ol style="list-style-type: none"> <li>1. Safeguarding (MASH / Domestic Abuse screening &amp; notifications / Support for designated safeguarding leads plus MASH cover – annual leave) Position of Trust / Child death and serious case reviews/ SSCB Audits Holiday cover for CP/CiN /CSE conferences etc.)</li> <li>2. Improve &amp; maintain pupil attendance (data plus support, guidance and advice). Legal action - penalty notices and prosecution of irregular attendance Monitoring and enforce of pupil registration regulations / removal from roll</li> <li>3. Child employment &amp; entertainment licensing / Elective home education / Travellers</li> <li>4. Children missing education / Children missing from education / Alternative Provision</li> </ol>			
<b>How has this proposal been calculated?</b>			
<p>Above DSG Education Functions proposal based on 25% of anticipated costs for financial year commencing Apr 2021</p> <p>Cost of current service delivery (financial year commencing Apr 2020) - £1m approx</p> <ol style="list-style-type: none"> <li>1. £140,000 – Safeguarding (not including line management / supervision)</li> <li>2. £580,000 – A&amp;P Service</li> <li>3. £88,000 - Child employment &amp; licensing / Elective home education / Travellers</li> <li>4. £220,000 – CME / CmFE / Alternative Provision</li> </ol>			
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>			
If this proposal is agreed all education providers (schools / academies and alternative provision etc.) will continue to benefit from all of the support outlined above.			
<b>What will be the impact if School Forum agree to purchase the statutory element of the service only? (Please give details on the total cost for the year, cost per pupil for each phase, service delivered)</b>			

Significant risk to Service ability to maintain present service delivery levels including:

1. Potential loss of safeguarding functions detailed above
2. Likely loss of ability to improve & maintain pupil attendance via provision of data plus support, guidance and advice, including specialist support to red – rag rated schools / academies.

**What will be the impact if Schools Forum do not agree to this proposal?**

At present the attendance & prosecution service does not charge schools / academies / education providers for any service or function that is not a statutory obligation or function.

The local authority could charge for any attendance support undertaken for pupils with attendance below the threshold\* for statutory intervention as well as any whole school / academy strategic support. (\*This is all work undertaken prior to the submission of a Court file or penalty notice.)

Given the direct link between attendance and attainment – as confirmed by the DfE: Any further reduction in Attendance & Prosecution Service resources and capacity will lead to reduced engagement in learning by the pupil population of Sandwell (circa 55,000 pupils) plus a decline in future attainment outcomes (e.g. Sats / GCSE / Ebac etc.)

More schools/academies likely to fail Ofsted inspections due to reduced specialist advice and support in relation to safeguarding and attendance related issues.

**How will the amount be deployed?**

<b>Salaries (£)</b>	264,000	Categories 1 and 2 above including staff line management and specialist support and training for school attendance / safeguarding leads etc.
<b>Services (£)</b>		
<b>Schools (£)</b>		

**How will expenditure be monitored?**

Finance / Quality standards programme executive

**How will impact be evaluated?**

Quality standards programme executive

**Please detail any income generated by the service?**

Penalty notices – income used for the administration of justice as allowed by legislation.

Used to off-set additional cost of A&P Officer staffing, MASH admin and apprentice (EHE)

# **CENTRAL SCHOOL SERVICES PROPOSALS**



## Central School Services Block 2021-22

REF	NAME	2021-22
	<b><u>All Schools including academies</u></b>	
	-	
<b>1</b>	Statutory/Regulatory/ Education Welfare/Asset Management	<b>£1,441,381</b>
<b>2</b>	Schools Forum	<b>£3,000</b>
<b>3</b>	Admissions Services	<b>£452,600</b>
<b>4</b>	Historical Commitment - Pensions Administration	<b>£182,400</b>
	<b>TOTAL EDUCATION FUNCTIONS - MAINTAINED SCHOOLS</b>	<b>£2,079,381</b>

<b>SCHOOL SERVICE BLOCK PROPOSAL 2021-22</b>		<b>NO: CSSB -01</b>
<b>Title of Proposal</b>	Statutory and Regulatory, Education Welfare and Asset Management	<b>Date</b> 28/10/2020
<b>Lead Officer</b>	Chris Ward	<b>Contact Tel.</b>
<b>Annual Funding Proposal (£)</b>		<b>2020-21</b>
		£1,441,381
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b>
<p>This responsibility is required to provide the council's statutory function to manage and coordinate statutory and regulatory services such as an element of the cost related to the Director of children's services and personal staff for director, planning for Education Services as a whole; Finance including Internal Audit, Human Resources, Legal, Standing Advisory Committees for Religious Education (SACRES).</p> <p>Education Welfare including the functions relating to the exclusion of pupils; School Attendance; Responsibilities regarding the employment of children.</p> <p>Asset Management services including management of LA's capital programme. Also general landlord duties for all buildings owned by the local authority.</p>		
<b>How has this proposal been calculated?</b>		
It reflects a contribution to the costs which is the balance of funding available in the Central School Services Block.		
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>		
These are statutory functions and includes the authorities ongoing responsibilities as outlined by the Department of Education.		
<b>What will be the impact if School Forum agree to purchase the statutory element of the service only? (Please give details on the total cost for the year, cost per pupil for each phase, service delivered)</b>		
The services are all statutory, there is no element of discretion in providing these services.		
<b>What will be the impact if Schools Forum do not agree to this proposal?</b>		
The council would be in breach of its statutory obligations.		



<b>How will the amount be deployed?</b>		
<b>Salaries (£)</b>		
<b>Services (£)</b>	£1,441,381	
<b>Schools (£)</b>		
<b>How will expenditure be monitored?</b>		
Monthly by the Lead Officer and Finance		
<b>How will impact be evaluated?</b>		
This is a statutory duty which is monitored carefully to ensure service delivery.		
<b>Please detail any income generated by the service?</b>		
N/A		

<b>DSG Central Schools Services Block 2021-22</b>		<b>NO:CSSB - 02</b>
<b>Title of Proposal</b>	School Forum Costs	<b>Date</b> 28/10/2020
<b>Lead Officer</b>	Chris Ward/Rose Kerr	<b>Contact Tel.</b>
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>
		£3,000
<b>Is the service provided a statutory function? (Please provide detail below if yes)</b>		<b>Yes</b> <b>No</b>
<p>Schools Forums (England) Regulations 2012 govern the composition, constitution and procedures of schools forums.</p> <p>Schools forums generally have a consultative role. However, there are situations in which they have decision-making powers. The areas on which schools forums make decisions on local authority proposals are:</p> <ul style="list-style-type: none"> <li>• De-delegation from mainstream schools budgets (separate approval will be required by the primary and secondary phase members of schools forum), for prescribed services to be provided centrally.</li> <li>• To create a fund for significant pupil growth in order to support the local authority's duty for place planning (basic need), including pre-opening and diseconomy of scale costs, and agree the criteria for maintained schools and academies to access this fund.</li> <li>• To create a fund for falling rolls for good or outstanding schools if the schools' surplus capacity is likely to be needed within the next three years to meet rising pupil numbers and agree the criteria for maintained schools and academies to access this fund.</li> <li>• Continued funding at existing levels for prescribed historic commitments where the effect of delegating this funding would be destabilising.</li> <li>• Funding for the local authority in order to meet prescribed statutory duties placed upon it. Approval is required to confirm the amounts for each duty and no new commitments or increases in expenditure from 2013/14 are permitted unless agreed by the Secretary of State.</li> <li>• Funding for central early years expenditure, which may include funding for checking eligibility of pupils for an early years place, the early years pupil premium and/or free school meals.</li> <li>• In each of these cases, the local authority can appeal to the Secretary of State if the schools forum rejects its proposal.</li> </ul>		

<b>How has this proposal been calculated?</b>		
The cost is based in the original cost included when schools funding was reformed in 2013/14. As part of the 2018/19 implementation of the National Funding Formula the duties now fall under ongoing responsibilities for the Central Schools Services Block and the costs may now be increased if required; however it is not felt necessary at this point.		
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>		
This budget is to cover venue, refreshments, administration and training for members.		
<b>What will be the impact if Schools Forum do not agree to this proposal?</b>		
The school could not fulfil its duties as set out above.		
<b>How will the amount be deployed?</b>		
<b>Salaries (£)</b>		
<b>Services (£)</b>	£3,000	As stated above
<b>Schools (£)</b>		
<b>How will expenditure be monitored?</b>		
Report to School Forum members		
<b>How will impact be evaluated?</b>		
Report to School Forum member.		
<b>Please detail any income generated by the service?</b>		
None.		

<b>CENTRAL SCHOOL SERVICE BLOCK PROPOSAL 2021-22</b>		<b>NO: CSSB-03</b>
<b>Title of Proposal</b>	Admissions Service	<b>Date</b> 01/10/2020
<b>Lead Officer</b>	Sue Moore	<b>Contact Tel.</b> 0121 569 8282
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b> £452,600
To provide the council's statutory function to manage and coordinate school admissions for all schools and appeals for community and voluntary controlled schools across both primary and secondary phases. This is for both annual and midyear admissions.		
<b>How has this proposal been calculated?</b>		
It reflects the actual cost of delivering the service less the cost of managing appeals for academies and voluntary aided schools		
<b>What will be the benefits to schools in Schools Forum agreeing this proposal? (Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)</b>		
It is a statutory function and ensures schools are fully compliant with the requirements of the Admission Code and corresponding regulations		
<b>What will be the impact if Schools Forum do not agree to this proposal?</b>		
The council would be in breach of its statutory obligations – the law does not allow schools to coordinate their own annual admissions.		
<b>How will the amount be deployed?</b>		
<b>Salaries (£)</b>		
<b>Services (£)</b>		
<b>Other costs (£)</b>		
<b>How will impact be evaluated?</b>		
This is a statutory duty which is monitored carefully to ensure service delivery remains efficient and effective for parents carers and schools.		
<b>Please detail any income generated by the service?</b>		
A service level agreement is in place with most academies, foundation and voluntary aided schools to manage appeals on their behalf.		

<b>CENTRAL SCHOOL SERVICE BLOCK PROPOSAL 2021-22</b>			<b>NO: 4</b>
<b>Title of Proposal</b>	Administration Costs of managing Pension Fund(s)	<b>Date</b>	01/10/2020
<b>Lead Officer</b>	HR Services	<b>Contact Tel.</b>	0121 569 3274
<b>Annual Funding Proposal (£)</b>		<b>2021-22</b>	
		£182,400.00	
<b>How has this proposal been calculated?</b>			
<p>The annual cost is based on Pensions Administration Service provision where Payroll Service provision is purchased from the LA, thus enabling a more seamless / streamlined administration of Pension Scheme membership, related employee / employer contributions and the production / submission of statutory returns.</p> <p>Where the Payroll Service provider is not the LA, the responsibility for provision of the statutory Pensions Administration Service would remain with the Council. In such a scenario, provision of this service would then require significant manual interventions within the LA, in regard of incorporating pensions data provided by the external Payroll Service provider into the monthly LA pension returns submitted to pension scheme administrators.</p> <p>The annual charge above was originally based on a charge per employee. The annual charge was increased annually to allow for inflation up to and including 2010/11.</p> <p>Between 2011/12 and 2017/18, the charge figure remained fixed at £296,600 per annum.</p> <p>Schools gained year-on-year benefit from the above arrangement, as any annual increased costs of administration (increased staff salaries, NIC`s, employers Pension Contributions, general overheads etc) were borne by the LA. In addition, the LA also funded the significant cost of developing / maintaining corporate systems in order to maintain compliance with multiple changes to Local Government and Teachers Pension Scheme regulations, the introduction / application of new Pensions Auto Enrolment legislation and the introduction of Monthly Data Collection requirements.</p> <p>In 2020/20, the annual charge was reduced to the current £228,000, as there were no changes to Pension Scheme regulations and no significant system developments relating to Pension Scheme administration were required to be undertaken in this year.</p> <p>Administration costs remain significant going forward, as scheme regulations continue to change and become more complex to administer.</p> <p>Teachers Pensions are due to publicise statutory changes (currently in consultation) relating to the format / content of the Monthly Data Collection (MDC) return. To maintain compliance, all employers will need to further develop their payroll systems in order to comply with new requirements.</p> <p>The LA has now expanded Pensions service delivery by setting-up a new Shared Cost Salary Sacrifice Additional Voluntary Contribution scheme. The new Scheme is now available to school employees (non-Teaching), who are current members of LGPS.</p>			

As per the reduction in historic commitments funding for 2020/21 publicised by DfE, the value of the Sandwell Historic Commitment funding has been reduced from the current £228,000 to £182,400 – a reduction of 20%.

**What will be the benefits to schools in Schools Forum agreeing this proposal?  
(Please give any details of previous proposals of a similar nature or specific details of requirements such as staffing and services)**

Compliance with the statutory requirement for the LA to provide a Pensions Administration Service in respect of LA schools.

Accurate and timely administration of employees` Pension Scheme membership.

Accurate and timely payment of pension scheme benefits to scheme members.

**What will be the impact if Schools Forum do not agree to this proposal?**

Non-compliance with statutory requirement for the LA to provide a Pensions Administration Service in respect of LA schools.

LA would incur financial penalties relating to any of the above non-compliance, as outlined in Local Government Pension Scheme and Teachers Pension Scheme performance framework.

Associated risk in regard of the inaccurate and untimely administration of employees` Pension Scheme membership. Associated reputational damage and potential employee relations issues.

Potentially late/inaccurate payment of pension scheme benefits to scheme members.

**How will the amount be deployed?**

<b>Salaries (£)</b>		
<b>Services (£)</b>	£182,400.00	
<b>Other costs (£)</b>		

**How will impact be evaluated?**

As above

**Please detail any income generated by the service?**

The introduction of the new Shared Cost Salary Sacrifice Additional Voluntary Contribution Scheme will deliver cost savings to schools in regard of any school employees joining the Scheme.

Employers National Insurance Contributions will not be payable on the value of the employees` monthly AVC contribution.

**The Central Schools Services Block and Education Functions**

**Statutory and regulatory duties**

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• Director of children’s services and personal staff for director (Sch 2, 15a)</li> <li>• Planning for the education service as a whole (Sch 2, 15b)</li> <li>• Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch 2, 22)</li> <li>• Authorisation and monitoring of expenditure not met from schools’ budget shares (Sch 2, 15c)</li> <li>• Formulation and review of local authority schools funding formula (Sch 2, 15d)</li> <li>• Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA 1972 except duties specifically related to maintained schools (Sch 2, 15e)</li> <li>• Consultation costs relating to non-staffing issues (Sch 2, 19)</li> <li>• Plans involving collaboration with other LA services or public or</li> </ul>	<ul style="list-style-type: none"> <li>• Functions of LA related to best value and provision of advice to governing bodies in procuring goods and services (Sch 2, 58)</li> <li>• Budgeting and accounting functions relating to maintained schools (Sch 2, 74)</li> <li>• Authorisation and monitoring of expenditure in respect of schools which do not have delegated budgets, and related financial administration (Sch 2, 59)</li> <li>• Monitoring of compliance with requirements in relation to the scheme for financing schools and the provision of community facilities by governing bodies (Sch 2, 60)</li> <li>• Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA 1972 for maintained schools (Sch 2, 61)</li> <li>• Functions made under Section 44 of the 2002 Act (Consistent Financial Reporting) (Sch 2, 62)</li> <li>• Investigations of employees or</li> </ul>

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>voluntary bodies (Sch 2, 15f)</p> <ul style="list-style-type: none"> <li>• Standing Advisory Committees for Religious Education (SACREs) (Sch 2, 17)</li> <li>• Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch 2, 21)</li> </ul>	<p>potential employees, with or without remuneration to work at or for schools under the direct management of the headteacher or governing body (Sch 2, 63)</p> <ul style="list-style-type: none"> <li>• Functions related to local government pensions and administration of teachers' pensions in relation to staff working at maintained schools under the direct management of the headteacher or governing body (Sch 2, 64)</li> <li>• Retrospective membership of pension schemes where it would not be appropriate to expect a school to meet the cost (Sch 2, 77)</li> <li>• HR duties, including: advice to schools on the management of staff, pay alterations, conditions of service and composition or organisation of staff (Sch 2, 65); determination of conditions of service for non-teaching staff (Sch 2, 66); appointment or dismissal of employee functions (Sch 2, 68)</li> <li>• Consultation costs relating to staffing (Sch 2, 68)</li> <li>• Compliance with duties under Health and Safety at Work Act</li> </ul>



Responsibilities held for all schools	Responsibilities held for maintained schools only
	<p>(Sch 2, 69)</p> <ul style="list-style-type: none"> <li>• Provision of information to or at the request of the Crown relating to schools (Sch 2, 70)</li> <li>• School companies (Sch 2, 71)</li> <li>• Functions under the Equality Act 2010 (Sch 2, 72)</li> <li>• Establish and maintaining computer systems, including data storage (Sch 2, 73)</li> <li>• Appointment of governors and payment of governor expenses (Sch 2, 74)</li> </ul>

Table 8a: Central services responsibilities held by local authorities (statutory and regulatory duties)

**Education welfare**

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• Functions in relation to the exclusion of pupils from schools, excluding any provision of education to excluded pupils (Sch 2, 20)</li> <li>• School attendance (Sch 2, 16)</li> <li>• Responsibilities regarding the employment of children (Sch 2, 18)</li> </ul>	<ul style="list-style-type: none"> <li>• Inspection of attendance registers (Sch 2, 80)</li> </ul>

Table 8b: Central services responsibilities held by local authorities (education welfare)

## Asset management

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• Management of the LA’s capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch 2, 14a)</li> <li>• General landlord duties for all buildings owned by the local authority, including those leased to academies (Sch 2, 14b)</li> </ul>	<ul style="list-style-type: none"> <li>• General landlord duties for all maintained schools (Sch 2, 76a &amp; b (section 542(2)) Education Act 1996; School Premises Regulations 2012) to ensure that school buildings have:               <ul style="list-style-type: none"> <li>• appropriate facilities for pupils and staff (including medical and accommodation)</li> <li>• the ability to sustain appropriate loads</li> <li>• reasonable weather resistance</li> <li>• safe escape routes</li> <li>• appropriate acoustic levels</li> <li>• lighting, heating and ventilation which meets the required standards</li> <li>• adequate water supplies and drainage</li> <li>• playing fields of the appropriate standards</li> </ul> </li> <li>• General health and safety duty as an employer for employees and others who may be affected (Health and Safety at Work etc.</li> </ul>

Responsibilities held for all schools	Responsibilities held for maintained schools only
	<p>Act 1974)</p> <ul style="list-style-type: none"> <li>• Management of the risk from asbestos in community school buildings (Control of Asbestos Regulations 2012)</li> </ul>

Table 8c: Central services responsibilities held by local authorities (asset management)

### Central support services

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• No functions</li> </ul>	<ul style="list-style-type: none"> <li>• Clothing grants (Sch 2, 54)</li> <li>• Provision of tuition in music, or on other music-related activities (Sch 2, 55)</li> <li>• Visual, creative and performing arts (Sch 2, 56)</li> <li>• Outdoor education centres (but not centres mainly for the provision of organised games, swimming or athletics) (Sch 2, 57)</li> </ul>

Table 8d: Central services responsibilities held by local authorities (central support services)

### Premature retirement and redundancy

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• No functions</li> </ul>	<ul style="list-style-type: none"> <li>• Dismissal or premature retirement when costs cannot be charged to maintained schools (Sch 2, 79)</li> </ul>

Table 8e: Central services responsibilities held by local authorities (premature retirement and redundancy)

## Monitoring national curriculum assessment

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>No functions</li> </ul>	<ul style="list-style-type: none"> <li>Monitoring of National Curriculum assessments (Sch 2, 76)</li> </ul>

Table 8f: Central services responsibilities held by local authorities (monitoring national curriculum assessment)

## Therapies

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>No functions</li> </ul>	<ul style="list-style-type: none"> <li>This is now covered in the high needs section of the regulations and does not require schools forum approval</li> </ul>

Table 8g: Central services responsibilities held by local authorities (therapies)

## Other ongoing duties

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>Licences negotiated centrally by the Secretary of State for all publicly funded schools (Sch 2, 8); this does not require schools forum approval</li> <li>Admissions (Sch 2, 9)</li> <li>Places in independent schools for non-SEN pupils (Sch 2, 10)</li> <li>Remission of boarding fees at maintained schools and academies (Sch 2, 11)</li> <li>Servicing of schools forums (Sch</li> </ul>	<ul style="list-style-type: none"> <li>No functions</li> </ul>

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>2, 12)</p> <ul style="list-style-type: none"> <li>• Back-pay for equal pay claims (Sch 2, 13)</li> <li>• Writing to parents of year 9 pupils about schools with an atypical age of admission, such as UTCs and studio schools, within a reasonable travelling distance (Sch 2,23)</li> </ul>	

**Table 8h: Central services responsibilities held by local authorities (other ongoing duties)**

### Historic commitments

Responsibilities held for all schools	Responsibilities held for maintained schools only
<ul style="list-style-type: none"> <li>• Capital expenditure funded from revenue (Sch 2, 1)</li> <li>• Prudential borrowing costs (Sch 2, 2(a))</li> <li>• Termination of employment costs (Sch 2, 2(b))</li> <li>• Contribution to combined budgets (Sch 2, 2(c))</li> </ul>	<ul style="list-style-type: none"> <li>• No functions</li> </ul>

**Table 8i: Central services responsibilities held by local authorities (historic commitments)**

### **Additional note on central services**

Services set out in the tables above will also include administrative costs and overheads relating to these services (regulation 1(4)) for:

- expenditure related to functions imposed by or under Chapter 4 of Part 2 of the 1998 Act (financing of maintained schools), the administration of grants to the authority (including preparation of applications) and, where it's the authority's duty to do so, ensuring payments are made in respect of taxation, national insurance and superannuation contributions
- expenditure on recruitment, training, continuing professional development, performance management and personnel management of staff who are funded by expenditure not met from schools' budget shares and who are paid for services
- expenditure in relation to the investigation and resolution of complaints
- expenditure on legal services



TABLE A: 2021-22 SCHOOL BUDGETS - MAINSTREAM SCHOOLS

SCHOOLS	2020-21													2021-22													TOTAL SCHOOLS REVENUE FUNDING 2021/22 (£)	MEMO NOTIONAL SEN BUDGET 2021-22 (£)
	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (12/15) (£)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS (£)	PFI (£)	TOTAL (£)	PUPIL NUMBERS	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (£) (13/15)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS	PFI	TOTAL (£)	PUPIL NUMBERS		
Q3 TIPTON ACADEMY (ACE ACADEMY)	7,614,425	0	0	0	0	76,104	0	-28,863	0	0	0	7,661,666	1,327	8,171,623	0	0	0	0	0	-28,863	0	0	0	0	8,142,760	1,327	8,142,760	839,724
BRISTNALL HALL ACADEMY	5,450,713	0	0	0	0	330,570	287,744	-19,147	0	0	0	6,049,880	948	5,837,412	0	0	0	0	0	-19,147	0	0	0	0	5,818,265	948	5,818,265	649,857
GEORGE SALTER ACADEMY	6,091,916	0	0	0	0	107,716	0	0	0	0	0	6,199,631	1,073	6,498,378	0	0	0	0	0	0	0	0	0	0	6,498,378	1,073	6,498,378	596,518
HOLLY LODGE HIGH	7,940,630	0	0	0	0	86,144	0	-26,156	-16,168	-19,321	0	7,965,131	1,379	8,403,934	0	0	0	0	0	-26,156	-16,168	-19,321	0	0	8,342,290	1,379	8,342,290	848,649
OLDBURY ACADEMY (OCOS)	8,085,969	0	0	0	0	67,449	0	0	0	0	0	8,153,418	1,436	8,681,566	0	0	0	0	0	0	0	0	0	0	8,681,566	1,436	8,681,566	867,262
ORMISTON ACADEMY	5,671,050	0	0	0	0	89,985	0	-18,421	0	0	0	5,742,614	1,041	6,088,300	0	0	0	0	0	-18,421	0	0	0	0	6,069,879	1,041	6,069,879	513,432
ORMISTON FORGE ACADEMY (HFIELD)	7,732,251	0	0	0	0	134,598	0	0	0	0	0	7,866,849	1,391	8,352,179	0	0	0	0	0	0	0	0	0	0	8,352,179	1,391	8,352,179	795,120
PERRYFIELDS HIGH	6,188,835	0	0	0	0	32,101	0	-18,272	-13,131	-15,692	0	6,173,841	1,120	6,643,574	0	0	0	0	0	-18,272	-13,131	-15,692	0	0	6,596,479	1,120	6,596,479	507,548
PHOENIX COLLEGIATE HIGH	8,978,436	0	0	0	0	91,397	0	-29,668	-19,134	-22,865	0	8,998,166	1,632	9,675,828	0	0	0	0	0	-29,668	-19,134	-22,865	0	0	9,604,161	1,632	9,604,161	1,096,016
Q3 ACADEMY (DARTMOUTH)	5,351,957	0	0	0	0	106,402	0	0	0	0	0	5,458,359	1,015	5,790,508	0	0	0	0	0	0	0	0	0	0	5,790,508	1,015	5,790,508	400,372
Q3 LANGLEY ACADEMY	5,496,327	0	0	0	0	96,128	0	0	0	0	0	5,592,455	1,008	5,913,117	0	0	0	0	0	0	0	0	0	0	5,913,117	1,008	5,913,117	478,551
RSA ACADEMY (WILLINGSWTH)	5,819,773	0	0	0	0	70,884	0	0	0	0	0	5,890,657	1,030	6,228,581	0	0	0	0	0	0	0	0	0	0	6,228,581	1,030	6,228,581	511,240
SANDWELL ACADEMY	5,288,890	0	0	0	0	91,149	0	0	0	0	0	5,380,039	985	5,712,429	0	0	0	0	0	0	0	0	0	0	5,712,429	985	5,712,429	379,892
SHIRELAND COLLEGIATE ACADEMY	6,337,628	0	0	0	0	65,103	0	0	0	0	0	6,402,731	1,097	6,705,780	0	0	0	0	0	0	0	0	0	0	6,705,780	1,097	6,705,780	647,242
ST MICHAELS CE HIGH	7,325,609	0	0	0	0	173,247	290,924	-21,730	-14,327	-17,121	-492,128	7,244,474	1,222	7,871,386	0	0	0	0	0	-21,730	-14,327	-17,121	-492,128	0	7,326,080	1,222	7,326,080	715,142
STUART BATHURST	4,150,321	0	0	0	0	51,311	0	0	-8,641	-10,326	0	4,182,665	737	4,509,211	0	0	0	0	0	0	-8,641	-10,326	0	0	4,490,244	737	4,490,244	420,535
WEST BROMWICH COLLEGIATE ACADEMY	1,576,605	0	0	0	0	9,394	0	0	0	0	0	1,585,999	263	1,686,959	0	0	0	0	0	0	0	0	0	0	1,686,959	263	1,686,959	146,950
WODENSBOROUGH ORMISTON ACADEMY	5,920,411	0	0	0	0	152,811	222,326	-23,043	0	0	0	6,272,505	1,010	6,353,289	0	0	0	0	0	-23,043	0	0	0	0	6,330,246	1,010	6,330,246	732,024
WOOD GREEN ACADEMY	7,052,483	0	0	0	0	153,223	0	-28,872	0	0	0	7,176,834	1,285	7,523,457	0	0	0	0	0	-28,872	0	0	0	0	7,494,584	1,285	7,494,584	620,084
HEALTH FUTURES UTC	711,022	0	0	0	0	0	0	0	0	0	0	711,022	89	746,944	0	0	0	0	0	0	0	0	0	0	746,944	89	746,944	48,498
<b>SECONDARY TOTAL</b>	<b>118,785,252</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,985,717</b>	<b>800,994</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>120,708,938</b>	<b>21,088</b>	<b>127,394,453</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>126,531,429</b>	<b>21,088</b>	<b>126,531,429</b>	<b>11,814,659</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>270,989,342</b>	<b>8,005,969</b>	<b>772,472</b>	<b>0</b>	<b>0</b>	<b>6,378,842</b>	<b>2,690,484</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>285,657,664</b>	<b>54,934</b>	<b>288,434,884</b>	<b>0</b>	<b>574,818</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>285,830,256</b>	<b>54,934</b>	<b>285,830,256</b>	<b>29,844,791</b>





TABLE A: 2021-22 SCHOOL BUDGETS - MAINSTREAM SCHOOLS

SCHOOLS	2020-21													2021-22													TOTAL SCHOOLS REVENUE FUNDING 2021/22 (£)	MEMO NOTIONAL SEN BUDGET 2021-22 (£)
	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (12/15) (£)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS (£)	PFI (£)	TOTAL (£)	PUPIL NUMBERS	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (13/15) (£)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS	PFI	TOTAL (£)	PUPIL NUMBERS		
Q3 TIPTON ACADEMY (ACE ACADEMY)	7,614,425	0	0	0	0	76,104	0	-28,863	0	0	0	7,661,666	1,327	8,228,330	0	0	0	0	0	-28,863	0	0	0	0	8,199,467	1,327	8,199,467	839,724
BRISTNALL HALL ACADEMY	5,450,713	0	0	0	0	330,570	287,744	-19,147	0	0	0	6,049,880	948	5,877,924	0	0	0	0	0	-19,147	0	0	0	0	5,858,776	948	5,858,776	649,857
GEORGE SALTER ACADEMY	6,091,916	0	0	0	0	107,716	0	0	0	0	0	6,199,631	1,073	6,544,231	0	0	0	0	0	0	0	0	0	0	6,544,231	1,073	6,544,231	596,518
HOLLY LODGE HIGH	7,940,630	0	0	0	0	86,144	0	-26,156	-16,168	-19,321	0	7,965,131	1,379	8,462,863	0	0	0	0	0	-26,156	-16,168	-19,321	0	0	8,401,219	1,379	8,401,219	848,649
OLDBURY ACADEMY (OCOS)	8,085,969	0	0	0	0	67,449	0	0	0	0	0	8,153,418	1,436	8,742,931	0	0	0	0	0	0	0	0	0	0	8,742,931	1,436	8,742,931	867,262
ORMISTON ACADEMY	5,671,050	0	0	0	0	89,985	0	-18,421	0	0	0	5,742,614	1,041	6,132,785	0	0	0	0	0	-18,421	0	0	0	0	6,114,365	1,041	6,114,365	513,432
ORMISTON FORGE ACADEMY (HFIELD)	7,732,251	0	0	0	0	134,598	0	0	0	0	0	7,866,849	1,391	8,411,621	0	0	0	0	0	0	0	0	0	0	8,411,621	1,391	8,411,621	795,120
PERRYFIELDS HIGH	6,188,835	0	0	0	0	32,101	0	-18,272	-13,131	-15,692	0	6,173,841	1,120	6,691,435	0	0	0	0	0	-18,272	-13,131	-15,692	0	0	6,644,340	1,120	6,644,340	507,548
PHOENIX COLLEGIATE HIGH	8,978,436	0	0	0	0	91,397	0	-29,668	-19,134	-22,865	0	8,998,166	1,632	9,745,569	0	0	0	0	0	-29,668	-19,134	-22,865	0	0	9,673,902	1,632	9,673,902	1,096,016
Q3 ACADEMY (DARTMOUTH)	5,351,957	0	0	0	0	106,402	0	0	0	0	0	5,458,359	1,015	5,833,882	0	0	0	0	0	0	0	0	0	0	5,833,882	1,015	5,833,882	400,372
Q3 LANGLEY ACADEMY	5,496,327	0	0	0	0	96,128	0	0	0	0	0	5,592,455	1,008	5,956,192	0	0	0	0	0	0	0	0	0	0	5,956,192	1,008	5,956,192	478,551
RSA ACADEMY (WILLINGSWTH)	5,819,773	0	0	0	0	70,884	0	0	0	0	0	5,890,657	1,030	6,272,597	0	0	0	0	0	0	0	0	0	0	6,272,597	1,030	6,272,597	511,240
SANDWELL ACADEMY	5,288,890	0	0	0	0	91,149	0	0	0	0	0	5,380,039	985	5,754,521	0	0	0	0	0	0	0	0	0	0	5,754,521	985	5,754,521	379,892
SHIRELAND COLLEGIATE ACADEMY	6,337,628	0	0	0	0	65,103	0	0	0	0	0	6,402,731	1,097	6,752,659	0	0	0	0	0	0	0	0	0	0	6,752,659	1,097	6,752,659	647,242
ST MICHAELS CE HIGH	7,325,609	0	0	0	0	173,247	290,924	-21,730	-14,327	-17,121	-492,128	7,244,474	1,222	7,923,606	0	0	0	0	0	-21,730	-14,327	-17,121	-492,128	0	7,378,300	1,222	7,378,300	715,142
STUART BATHURST	4,150,321	0	0	0	0	51,311	0	0	-8,641	-10,326	0	4,182,665	737	4,540,705	0	0	0	0	0	0	-8,641	-10,326	0	0	4,521,739	737	4,521,739	420,535
WEST BROMWICH COLLEGIATE ACADEMY	1,576,605	0	0	0	0	9,394	0	0	0	0	0	1,585,999	263	1,698,177	0	0	0	0	0	0	0	0	0	0	1,698,177	263	1,698,177	146,950
WODENSBOROUGH ORMISTON ACADEMY	5,920,411	0	0	0	0	152,811	222,326	-23,043	0	0	0	6,272,505	1,010	6,396,450	0	0	0	0	0	-23,043	0	0	0	0	6,373,407	1,010	6,373,407	732,024
WOOD GREEN ACADEMY	7,052,483	0	0	0	0	153,223	0	-28,872	0	0	0	7,176,834	1,285	7,578,369	0	0	0	0	0	-28,872	0	0	0	0	7,549,497	1,285	7,549,497	620,084
HEALTH FUTURES UTC	711,022	0	0	0	0	0	0	0	0	0	0	711,022	89	750,747	0	0	0	0	0	0	0	0	0	0	750,747	89	750,747	48,498
<b>SECONDARY TOTAL</b>	<b>118,785,252</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,985,717</b>	<b>800,994</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>120,708,938</b>	<b>21,088</b>	<b>128,295,595</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>0</b>	<b>127,432,571</b>	<b>21,088</b>	<b>127,432,571</b>	<b>11,814,659</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>270,989,342</b>	<b>8,005,969</b>	<b>772,472</b>	<b>0</b>	<b>0</b>	<b>6,378,842</b>	<b>2,690,484</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>285,657,664</b>	<b>54,934</b>	<b>288,001,214</b>	<b>0</b>	<b>1,008,487</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>0</b>	<b>285,830,256</b>	<b>54,934</b>	<b>285,830,256</b>	<b>29,844,791</b>

TABLE A: 2021-22 SCHOOL BUDGETS - MAINSTREAM SCHOOLS

Table with columns for 2020-21 and 2021-22 financial data for various schools, including DSG, Early Years, Minimum Funding, Total, and Pupil Numbers. Includes a MEMO column for Notional SEN Budget 2021-22.

**TABLE A: 2021-22 SCHOOL BUDGETS - MAINSTREAM SCHOOLS**

SCHOOLS	2020-21													2021-22													TOTAL SCHOOLS REVENUE FUNDING 2021/22 (£)	MEMO
	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (12/15) (£)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS (£)	PFI (£)	TOTAL (£)	PUPIL NUMBERS	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (£) (13/15)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS	PFI	TOTAL (£)	PUPIL NUMBERS		
Q3 TIPTON ACADEMY (ACE ACADEMY)	7,614,425	0	0	0	0	76,104	0	-28,863	0	0	0	7,661,666	1,327	8,328,880	0	0	0	0	0	0	-28,863	0	0	0	8,300,017	1,327	8,300,017	776,043
BRISTNALL HALL ACADEMY	5,450,713	0	0	0	0	330,570	287,744	-19,147	0	0	0	6,049,880	948	5,929,994	0	0	0	0	0	0	-19,147	0	0	0	5,910,847	948	5,910,847	605,035
GEORGE SALTER ACADEMY	6,091,916	0	0	0	0	107,716	0	0	0	0	0	6,199,631	1,073	6,534,065	0	0	0	0	0	0	0	0	0	0	6,534,065	1,073	6,534,065	552,930
HOLLY LODGE HIGH	7,940,630	0	0	0	0	86,144	0	-26,156	-16,168	-19,321	0	7,965,131	1,379	8,599,093	0	0	0	0	0	0	-26,156	-16,168	-19,321	0	8,537,450	1,379	8,537,450	792,914
OLDBURY ACADEMY (OCOS)	8,085,969	0	0	0	0	67,449	0	0	0	0	0	8,153,418	1,436	8,957,005	0	0	0	0	0	0	0	0	0	0	8,957,005	1,436	8,957,005	802,481
ORMISTON ACADEMY	5,671,050	0	0	0	0	89,985	0	-18,421	0	0	0	5,742,614	1,041	6,187,524	0	0	0	0	0	0	-18,421	0	0	0	6,169,103	1,041	6,169,103	476,064
ORMISTON FORGE ACADEMY (HFIELD)	7,732,251	0	0	0	0	134,598	0	0	0	0	0	7,866,849	1,391	8,443,602	0	0	0	0	0	0	0	0	0	0	8,443,602	1,391	8,443,602	739,040
PERRYFIELDS HIGH	6,188,835	0	0	0	0	32,101	0	-18,272	-13,131	-15,692	0	6,173,841	1,120	6,634,167	0	0	0	0	0	0	-18,272	-13,131	-15,692	0	6,587,072	1,120	6,587,072	471,359
PHOENIX COLLEGIATE HIGH	8,978,436	0	0	0	0	91,397	0	-29,668	-19,134	-22,865	0	8,998,166	1,632	9,870,965	0	0	0	0	0	0	-29,668	-19,134	-22,865	0	9,799,298	1,632	9,799,298	1,015,351
Q3 ACADEMY (DARTMOUTH)	5,351,957	0	0	0	0	106,402	0	0	0	0	0	5,458,359	1,015	5,689,816	0	0	0	0	0	0	0	0	0	0	5,689,816	1,015	5,689,816	373,770
Q3 LANGLEY ACADEMY	5,496,327	0	0	0	0	96,128	0	0	0	0	0	5,592,455	1,008	5,870,830	0	0	0	0	0	0	0	0	0	0	5,870,830	1,008	5,870,830	441,935
RSA ACADEMY (WILLINGSWTH)	5,819,773	0	0	0	0	70,884	0	0	0	0	0	5,890,657	1,030	6,249,092	0	0	0	0	0	0	0	0	0	0	6,249,092	1,030	6,249,092	463,889
SANDWELL ACADEMY	5,288,890	0	0	0	0	91,149	0	0	0	0	0	5,380,039	985	5,642,745	0	0	0	0	0	0	0	0	0	0	5,642,745	985	5,642,745	350,590
SHIRELAND COLLEGIATE ACADEMY	6,337,628	0	0	0	0	65,103	0	0	0	0	0	6,402,731	1,097	6,868,452	0	0	0	0	0	0	0	0	0	0	6,868,452	1,097	6,868,452	601,167
ST MICHAELS CE HIGH	7,325,609	0	0	0	0	173,247	290,924	-21,730	-14,327	-17,121	-492,128	7,244,474	1,222	7,878,769	0	0	0	0	0	0	-21,730	-14,327	-17,121	-492,128	7,333,463	1,222	7,333,463	656,810
STUART BATHURST	4,150,321	0	0	0	0	51,311	0	0	-8,641	-10,326	0	4,182,665	737	4,552,979	0	0	0	0	0	0	0	-8,641	-10,326	0	4,534,012	737	4,534,012	389,007
WEST BROMWICH COLLEGIATE ACADEMY	1,576,605	0	0	0	0	9,394	0	0	0	0	0	1,585,999	263	1,620,823	24,138	0	0	0	0	0	0	0	0	0	1,644,961	263	1,644,961	134,849
WODENSBOROUGH ORMISTON ACADEMY	5,920,411	0	0	0	0	152,811	222,326	-23,043	0	0	0	6,272,505	1,010	6,540,230	0	0	0	0	0	0	-23,043	0	0	0	6,517,187	1,010	6,517,187	675,217
WOOD GREEN ACADEMY	7,052,483	0	0	0	0	153,223	0	-28,872	0	0	0	7,176,834	1,285	7,597,375	0	0	0	0	0	0	0	0	0	0	7,568,503	1,285	7,568,503	582,994
HEALTH FUTURES UTC	711,022	0	0	0	0	0	0	0	0	0	0	711,022	89	790,939	0	0	0	0	0	0	0	0	0	0	790,939	89	790,939	44,703
<b>SECONDARY TOTAL</b>	<b>118,785,252</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,985,717</b>	<b>800,994</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>120,708,938</b>	<b>21,088</b>	<b>128,787,345</b>	<b>0</b>	<b>24,138</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-214,172</b>	<b>-71,400</b>	<b>-85,324</b>	<b>-492,128</b>	<b>127,948,458</b>	<b>21,088</b>	<b>127,948,458</b>	<b>10,946,147</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>270,989,342</b>	<b>8,005,969</b>	<b>772,472</b>	<b>0</b>	<b>0</b>	<b>6,378,842</b>	<b>2,690,484</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>285,657,664</b>	<b>54,934</b>	<b>285,630,879</b>	<b>0</b>	<b>3,119,266</b>	<b>259,557</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-851,558</b>	<b>-586,100</b>	<b>-459,000</b>	<b>-1,282,787</b>	<b>285,830,256</b>	<b>54,934</b>	<b>285,830,256</b>	<b>27,308,080</b>



OPTIONS - TABLE A: 2021-22 SCHOOL BUDGETS - MAINSTREAM SCHOOLS

Option 1 - LA Formula: Primary: Secondary Ratio 1:1.27			
SCHOOLS BLOCK FUNDING ONLY			
SCHOOLS	DSG (£)	MINIMUM FUNDING GUARANTEE AND MINIMUM PER PUPIL FUNDING (£)	Total Schools Block Funding (£)
	K	M	
Q3 TIPTON ACADEMY (ACE ACADEMY)	8,171,623	0	8,171,623
BRISTNALL HALL ACADEMY	5,837,412	0	5,837,412
GEORGE SALTER ACADEMY	6,498,378	0	6,498,378
HOLLY LODGE HIGH	8,403,934	0	8,403,934
OLDBURY ACADEMY (OCOS)	8,681,566	0	8,681,566
ORMISTON ACADEMY	6,088,300	0	6,088,300
ORMISTON FORGE ACADEMY (HFIELD)	8,352,179	0	8,352,179
PERRYFIELDS HIGH	6,643,574	0	6,643,574
PHOENIX COLLEGIATE HIGH	9,675,828	0	9,675,828
Q3 ACADEMY (DARTMOUTH)	5,790,508	0	5,790,508
Q3 LANGLEY ACADEMY	5,913,117	0	5,913,117
RSA ACADEMY (WILLINGSWTH)	6,228,581	0	6,228,581
SANDWELL ACADEMY	5,712,429	0	5,712,429
SHIRELAND COLLEGIATE ACADEMY	6,705,780	0	6,705,780
ST MICHAELS CE HIGH	7,871,386	0	7,871,386
STUART BATHURST	4,509,211	0	4,509,211
WEST BROMWICH COLLEGIATE ACADEMY	1,686,959	0	1,686,959
WODENSBOROUGH ORMISTON ACADEMY	6,353,289	0	6,353,289
WOOD GREEN ACADEMY	7,523,457	0	7,523,457
HEALTH FUTURES UTC	746,944	0	746,944
<b>SECONDARY TOTAL</b>	<b>127,394,453</b>	<b>0</b>	<b>127,394,453</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>288,434,884</b>	<b>574,818</b>	<b>289,009,701</b>

Option 2 - Secondaries receive 1% more			
SCHOOLS BLOCK FUNDING ONLY			
SCHOOLS	DSG (£)	MINIMUM FUNDING GUARANTEE AND MINIMUM PER PUPIL FUNDING (£)	Total Schools Block Funding (£)
	K	M	
Q3 TIPTON ACADEMY (ACE ACADEMY)	8,228,330	0	8,228,330
BRISTNALL HALL ACADEMY	5,877,924	0	5,877,924
GEORGE SALTER ACADEMY	6,544,231	0	6,544,231
HOLLY LODGE HIGH	8,462,863	0	8,462,863
OLDBURY ACADEMY (OCOS)	8,742,931	0	8,742,931
ORMISTON ACADEMY	6,132,785	0	6,132,785
ORMISTON FORGE ACADEMY (HFIELD)	8,411,621	0	8,411,621
PERRYFIELDS HIGH	6,691,435	0	6,691,435
PHOENIX COLLEGIATE HIGH	9,745,569	0	9,745,569
Q3 ACADEMY (DARTMOUTH)	5,833,882	0	5,833,882
Q3 LANGLEY ACADEMY	5,956,192	0	5,956,192
RSA ACADEMY (WILLINGSWTH)	6,272,597	0	6,272,597
SANDWELL ACADEMY	5,754,521	0	5,754,521
SHIRELAND COLLEGIATE ACADEMY	6,752,659	0	6,752,659
ST MICHAELS CE HIGH	7,923,606	0	7,923,606
STUART BATHURST	4,540,705	0	4,540,705
WEST BROMWICH COLLEGIATE ACADEMY	1,698,177	0	1,698,177
WODENSBOROUGH ORMISTON ACADEMY	6,396,450	0	6,396,450
WOOD GREEN ACADEMY	7,578,369	0	7,578,369
HEALTH FUTURES UTC	750,747	0	750,747
<b>SECONDARY TOTAL</b>	<b>128,295,595</b>	<b>0</b>	<b>128,295,595</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>288,001,214</b>	<b>1,008,487</b>	<b>289,009,701</b>

Option 3 - National Funding Formula Values			
SCHOOLS BLOCK FUNDING ONLY			
SCHOOLS	DSG (£)	MINIMUM FUNDING GUARANTEE AND MINIMUM PER PUPIL FUNDING (£)	Total Schools Block Funding (£)
	K	M	
Q3 TIPTON ACADEMY (ACE ACADEMY)	8,328,880	0	8,328,880
BRISTNALL HALL ACADEMY	5,929,994	0	5,929,994
GEORGE SALTER ACADEMY	6,534,065	0	6,534,065
HOLLY LODGE HIGH	8,599,093	0	8,599,093
OLDBURY ACADEMY (OCOS)	8,957,005	0	8,957,005
ORMISTON ACADEMY	6,187,524	0	6,187,524
ORMISTON FORGE ACADEMY (HFIELD)	8,443,602	0	8,443,602
PERRYFIELDS HIGH	6,634,167	0	6,634,167
PHOENIX COLLEGIATE HIGH	9,870,965	0	9,870,965
Q3 ACADEMY (DARTMOUTH)	5,689,816	0	5,689,816
Q3 LANGLEY ACADEMY	5,870,830	0	5,870,830
RSA ACADEMY (WILLINGSWTH)	6,249,092	0	6,249,092
SANDWELL ACADEMY	5,642,745	0	5,642,745
SHIRELAND COLLEGIATE ACADEMY	6,868,452	0	6,868,452
ST MICHAELS CE HIGH	7,878,769	0	7,878,769
STUART BATHURST	4,552,979	0	4,552,979
WEST BROMWICH COLLEGIATE ACADEMY	1,620,823	24,138	1,644,961
WODENSBOROUGH ORMISTON ACADEMY	6,540,230	0	6,540,230
WOOD GREEN ACADEMY	7,597,375	0	7,597,375
HEALTH FUTURES UTC	790,939	0	790,939
<b>SECONDARY TOTAL</b>	<b>128,787,345</b>	<b>24,138</b>	<b>128,811,483</b>
<b>SCHOOLS BLOCK TOTAL</b>	<b>285,630,879</b>	<b>3,378,823</b>	<b>289,009,701</b>

Option 1 - LA FORMULA: Primary: Secondary Ratio 1:1.27			
Pupil Led Factors			
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£3,444.85	
	Key Stage 3 (Years 7-9)	£4,935.72	
	Key Stage 4 (Years 10-11)	£4,935.72	
	Description	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	FSM		
	FSM6		
	IDACI Band F		
	IDACI Band E	£77.07	£349.90
	IDACI Band D	£485.30	£675.85
	IDACI Band C	£550.84	£770.70
	IDACI Band B	£601.56	£855.42
	IDACI Band A	£630.36	£900.36
	Description	Primary amount per pupil	Secondary amount per pupil
4) English as an Additional Language (EAL)	EAL 2 Primary	£846.00	
	EAL 2 Secondary		£1,227.00
5) Mobility	Pupils starting school outside of normal entry dates	£0.00	£0.00
	Description	Weighting	Amount per pupil
6) Low prior attainment	Primary low prior attainment	100.00%	£1,225.00
	Secondary low attainment (year 7)	64.53%	£1,776.00
	Secondary low attainment (year 8)	63.59%	
	Secondary low attainment (years 9)	58.05%	
	Secondary low prior attainment (year 10)	48.02%	
7) Other Factors - Lump Sum	Lump Sum	129,057.00	
Minimum Funding Guarantee	Minimum Funding Guarantee	0.50%	
Scaling and Capping	Scaling and Capping	0.00%	

Option 2 - SECONDARIES RECEIVE 1% MORE FUNDING			
Pupil Led Factors			
Primary (Years R-6)	£3,405.42		
Key Stage 3 (Years 7-9)	£4,978.45		
Key Stage 4 (Years 10-11)	£4,978.45		
	Description	Primary amount per pupil	Secondary amount per pupil
FSM	FSM		
	FSM6		
	IDACI Band F		
	IDACI Band E	£77.07	£349.90
	IDACI Band D	£485.30	£675.85
	IDACI Band C	£550.84	£770.70
	IDACI Band B	£601.56	£855.42
	IDACI Band A	£630.36	£900.36
	Description	Primary amount per pupil	Secondary amount per pupil
EAL 2 Primary	EAL 2 Primary	£846.00	
	EAL 2 Secondary		£1,227.00
Pupils starting school outside of normal entry dates	Pupils starting school outside of normal entry dates	£0.00	£0.00
	Description	Weighting	Amount per pupil
Primary low prior attainment	Primary low prior attainment	100.00%	£1,225.00
	Secondary low attainment (year 7)	64.53%	£1,776.00
	Secondary low attainment (year 8)	63.59%	
	Secondary low attainment (years 9 to 11)	58.05%	
	Secondary low prior attainment (year 10)	48.02%	
Lump Sum	Lump Sum	£129,057.00	
Minimum Funding Guarantee	Minimum Funding Guarantee	0.50%	
Scaling and Capping	Scaling and Capping	0.00%	

Option 3 - NATIONAL FUNDING FORMULA FACTOR VALUES			
Pupil Led Factors			
Primary (Years R-6)	£3,118.27		
Key Stage 3 (Years 7-9)	£4,399.07		
Key Stage 4 (Years 10-11)	£4,958.07		
	Description	Primary amount per pupil	Secondary amount per pupil
FSM	FSM	£460.00	£460.00
	FSM6	£575.00	£840.00
	IDACI Band F	£215.00	£310.00
	IDACI Band E	£260.00	£415.00
	IDACI Band D	£410.00	£580.00
	IDACI Band C	£445.00	£630.00
	IDACI Band B	£475.00	£680.00
	IDACI Band A	£620.00	£865.00
	Description	Primary amount per pupil	Secondary amount per pupil
EAL 2 Primary	EAL 2 Primary	£550.00	
	EAL 2 Secondary		£1,485.00
Pupils starting school outside of normal entry dates	Pupils starting school outside of normal entry dates	£900.00	£1,290.00
	Description	Weighting	Amount per pupil
Primary low prior attainment	Primary low prior attainment	100.00%	£1,095.00
	Secondary low attainment (year 7)	64.53%	£1,660.00
	Secondary low attainment (year 8)	63.59%	
	Secondary low attainment (years 9 to 11)	58.05%	
	Secondary low prior attainment (year 10)	48.02%	
Lump Sum	Lump Sum	£117,800.00	
Minimum Funding Guarantee	Minimum Funding Guarantee	0.50%	
Scaling and Capping	Scaling and Capping	0.00%	